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<th>Parties</th>
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<tr>
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<tr>
<td>01/28/2005</td>
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<td>HORSE MOVEMENT VIOLATION</td>
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**Summary**

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<th>Subprogram</th>
<th>CR</th>
<th>Description</th>
<th>Open Date</th>
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<tr>
<td>CA0636</td>
<td>VS</td>
<td>SQUARE TO SQUALITY</td>
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<td>BUYING &amp; SELLING HORSES FOR SLAUGHTER</td>
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<td>CO0617</td>
<td>VS</td>
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<td>FL1021</td>
<td>VS</td>
<td>NONE</td>
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<td>TRANSPORTING HORSES TO SLAUGHTER ON DOUBLE DECKED TRAILER. NO VIOLATION. HORSES WERE NOT MOVED TO SLAUGHTER.</td>
<td>10/08/2009</td>
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<td>IA0640</td>
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<td>IA1023</td>
<td>VS</td>
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<td>MOVEMENT OF SLAUGHTER HORSES TO CANADA MESSING SOME BACK TRAIL</td>
<td>06/01/2010</td>
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<td>IA1126</td>
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<td>HORSE FOR SLAUGHTER UNABLE TO BEAR WEIGHT ON ALL LEGS</td>
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<td>VS</td>
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<td>THIS IS AN ADDITIONAL INFORMATION REQUEST FROM CANADA. THIS REQUEST INVOLES A REPAIR OF A LOAD OF HORSES INTO CANADA BY THE CANADIAN AUTHORITIES. THE INFORMATION WAS SENT TO DON BROSOL OF CFCA-CFCA at his request. This is a fact finding information request from Canada</td>
<td>07/28/2011</td>
<td>FACT FINDING</td>
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<td>IA1200</td>
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<td>MULTIPLE VIOLATIONS IN PROPERLY COMPLETING VS FORM 10-13. TAG PRIVAT AND TAG NO. COLLAPSING ARE UNRELIABLE.</td>
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<td>BLIND HORSES TO SLAUGHTER IN MEXICO AND FAILURE TO ISSUE 10-13 AFTER UNLOADING THE HORSES</td>
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<td>IL5005</td>
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<td>FAILED TO LIST STATEMENT OF FITNESS TO TRAVEL (OUTPLACED HORSES) AND NEW SHIPPER STATEMENT</td>
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<td>VIOLATED HORSE SLAUGHTER TRANSPORT REQUIREMENTS FOR FITNESS TO TRAVEL - DOUBLE BLIND HORSE</td>
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IL05023 VS SQUIRE TO SQUALTER 88 FAILED TO SEPARATE AGGRESSIVE EQUINE TO PREVENT INJURIES 1/17/2004 STIPULATION 04/17/2006 $3,775.00 BUD SHIRLEY
IL05033 VS SQUIRE TO SQUALTER 88 FAILED TO SEPARATE AGGRESSIVE EQUINE TO PREVENT INJURIES 1/17/2004 WARNING (706) 02/22/2006 $0.00 KEVIN DAVIS
IL05052 VS SQUIRE TO SQUALTER 88 HORSES INJURED IN TRANSPORT TO SLAUGHTER FACILITY, HORSES OFFLOADED, HORSES INJURED TO TRANSPORT TO SLAUGHTER FACILITY, HORSES OFFLOADED 03/30/2005 DECISION AND ORDER 06/03/2010 $1,125.00 DAKE HALEY
IL05052 VS SQUIRE TO SQUALTER 88 HORSES INJURED IN TRANSPORT TO SLAUGHTER FACILITY, HORSES OFFLOADED 02/15/2005 WARNING (706) 12/06/2005 $0.00 JOEY D. RAMFY
IL05052 VS SQUIRE TO SQUALTER 88 HORSES INJURED IN TRANSPORT TO SLAUGHTER FACILITY, HORSES OFFLOADED 02/15/2005 WARNING (706) 12/06/2005 $0.00 LARRY DALE BROWNING
IL05056 VS NONE 88 4 6 INJURED HORSES DURING TRANSPORTATION TO SLAUGHTER NO CANADIAN HEALTH CERTIFICATE FOR ONE HORSE DOING TO SLAUGHTER NO CANADIAN HEALTH CERTIFICATE FOR ONE HORSE DOING TO SLAUGHTER 01/14/2005 DENIED / DECLINED 04/29/2008 $10,625.00 SCOTT BRYNE
IL05056 VS NONE 6 INJURED HORSES DURING TRANSPORTATION TO SLAUGHTER NO CANADIAN HEALTH CERTIFICATE FOR ONE HORSE DOING TO SLAUGHTER 01/14/2005 STIPULATION 04/09/2008 $312.00 KELLY DREMA
IL05066 VS SQUIRE TO SQUALTER 88 FAILURE TO PROVIDE DATE AND TIME SLAUGHTER HORSES WERE SHIPPED, FAILURE TO PROVIDE DATE AND TIME SLAUGHTER HORSES WERE SHIPPED 02/07/2005 DEFAULT DECISION 04/08/2009 $7,200.00 JEREMY PALLUT
IL05066 VS SQUIRE TO SQUALTER 88 FAILURE TO PROVIDE DATE AND TIME SLAUGHTER HORSES WERE SHIPPED 02/17/2005 DEFAULT DECISION 12/26/2009 $0.00 CHARLES CARTER
IL05077 VS NONE 88 4 2 HORSES DROWN ON ARRIVAL AT SLAUGHTER FACILITY 02/24/2005 STIPULATION 06/17/2006 BUD SHIRLEY
IL05077 VS NONE 2 HORSES DROWN ON ARRIVAL AT SLAUGHTER FACILITY 02/24/2005 WARNING (706) 02/22/2006 $0.00 DERRILL SMITH
IL05126 VS NONE TRANSPORTED DOUBLE BLIND HORSE TO SLAUGHTER 05/05/2005 DEFAULT DECISION 03/23/2010 NIKOLE BURKE
IL05135 VS NONE 4 HORSES WERE TRANSPORTED TO SLAUGHTERING FACILITY AND UPON ARRIVAL WERE FOUND TO BE INJURED 06/01/2005 DENIED / DECLINED 07/01/2009 $0.00 SCOTT BRYNE
IL05135 VS NONE HORSES WERE TRANSPORTED TO SLAUGHTERING FACILITY AND UPON ARRIVAL WERE FOUND TO BE INJURED 06/01/2005 STIPULATION 06/21/2006 $625.00 JASON ARMIT
IL05136 VS SQUIRE TO SQUALTER 88 HORSE WAS TRANSPORTED TO SLAUGHTERING FACILITY AND UPON ARRIVAL WAS FOUND DEAD IN THE TRAILER WHICH TRANSPORTED IT 06/01/2005 DEFAULT DECISION 09/07/2009 $64,725.00 ROBERT PAULSON
IL05136 VS SQUIRE TO SQUALTER 88 HORSE WAS TRANSPORTED TO SLAUGHTERING FACILITY AND UPON ARRIVAL WAS FOUND DEAD IN THE TRAILER WHICH TRANSPORTED IT 06/01/2005 DEFAULT DECISION 10/23/2009 $0.00 CHARLES CARTER
IL05137 VS SQUIRE TO SQUALTER 88 HORSE WAS INJURED WHILE IN TRANSPORT TO SLAUGHTERING FACILITY 06/01/2005 INCOMPETENT DECISION 10/17/2008 $0.00 CHARLES CARTER
IL05137 VS SQUIRE TO SQUALTER 88 HORSE WAS INJURED WHILE IN TRANSPORT TO SLAUGHTERING FACILITY 06/01/2005 INCOMPETENT DECISION 10/17/2008 $0.00 SCOTT DANIEL JOHNSON
IL05138 VS SQUIRE TO SQUALTER 88 HORSES WERE TRANSPORTED TO SLAUGHTERING FACILITY AND UPON ARRIVAL WERE FOUND TO BE INJURED 06/01/2005 DEFAULT DECISION 10/23/2009 $0.00 CHARLES CARTER
IL05138 VS SQUIRE TO SQUALTER 88 HORSES WERE TRANSPORTED TO SLAUGHTERING FACILITY AND UPON ARRIVAL WERE FOUND TO BE INJURED 06/01/2005 WARNING (706) 08/06/2010 $0.00 JIM KUNKLE
IL05138 VS SQUIRE TO SQUALTER 88 HORSES WERE TRANSPORTED TO SLAUGHTERING FACILITY AND UPON ARRIVAL WERE FOUND TO BE INJURED 06/01/2005 WARNING (706) 08/06/2010 VAS TRUCKING
IL05138 VS SQUIRE TO SQUALTER 88 HORSES WERE TRANSPORTED TO SLAUGHTERING FACILITY AND UPON ARRIVAL WERE FOUND TO BE INJURED 06/01/2005 DEFAULT DECISION 09/07/2009 ROBERT PAULSON
IL05139 VS SQUIRE TO SQUALTER 88 HORSES WERE TRANSPORTED TO A SLAUGHTERING FACILITY AND UPON ARRIVAL WERE FOUND TO BE INJURED 06/01/2005 DEFAULT DECISION 10/23/2009 $0.00 CHARLES CARTER
IL05140 VS SQUIRE TO SQUALTER 88 HORSES WERE TRANSPORTED TO SLAUGHTERING FACILITY AND UPON ARRIVAL IT WAS FOUND TO BE INJURED 06/01/2005 WARNING (706) 09/03/2010 DAKE HALEY
IL05140 VS SQUIRE TO SQUALTER 88 HORSES WERE TRANSPORTED TO A SLAUGHTERING FACILITY AND UPON ARRIVAL IT WAS FOUND TO BE INJURED 06/01/2005 STATUTE OF LIMITATIONS 04/19/2011 $1,350.00 DOUGLAS HENMIRE
IL05141 VS SQUIRE TO SQUALTER 88 HORSE WAS TRANSPORTED TO SLAUGHTERING FACILITY AND UPON ARRIVAL WERE FOUND TO BE INJURED 06/01/2005 DEFAULT DECISION 10/23/2009 $0.00 CHARLES CARTER
IL05141 VS SQUIRE TO SQUALTER 88 HORSES WERE TRANSPORTED TO A SLAUGHTERING FACILITY AND UPON ARRIVAL WERE FOUND TO BE INJURED 06/01/2005 WARNING (706) 07/28/2010 ROBERT DOREY
IL05142 VS SQUIRE TO SQUALTER 88 HORSE WAS TRANSPORTED TO SLAUGHTERING FACILITY AND UPON ARRIVAL WAS FOUND TO BE DEAD 06/01/2005 DECISION AND ORDER 09/03/2010 DAKE HALEY
IL05142 VS SQUIRE TO SQUALTER 88 HORSE WAS TRANSPORTED TO A SLAUGHTERING FACILITY AND UPON ARRIVAL WAS FOUND TO BE DEAD 06/01/2005 WARNING (706) 09/03/2010 DAKE HALEY
IL05151 VS SQUIRE TO SQUALTER 88 HORSE INJURED DURING TRANSPORT/INACCURATE PAPERWORK 06/18/2005 CONSENT DECISION 03/22/2010 $0.00 DENNIS SMIRKKEEN
IL05151 VS SQUIRE TO SQUALTER 88 HORSE INJURED DURING TRANSPORT/INACCURATE PAPERWORK 06/18/2005 CONSENT DECISION 04/01/2010 $5,000.00 TRIPLE H TRUCKING
IL05151 VS SQUIRE TO SQUALTER 88 HORSE INJURED DURING TRANSPORT/INACCURATE PAPERWORK 06/18/2005 DEFAULT DECISION 10/23/2009 $0.00 CHARLES CARTER
IL05151 VS SQUIRE TO SQUALTER 88 HORSE INJURED DURING TRANSPORT/INACCURATE PAPERWORK 06/18/2005 WARNING (706) 07/30/2010 JASON SWIENDRZIL
IL05151 VS SQUIRE TO SQUALTER 88 HORSE INJURED DURING TRANSPORT/INACCURATE PAPERWORK 06/18/2005 WARNING (706) 07/30/2010 STEVE SMITH JR
IL05154 VS SQUIRE TO SQUALTER 88 HORSES INJURED DURING TRANSPORTATION 06/14/2005 DEFAULT DECISION 10/23/2009 $0.00 CHARLES CARTER
HORSES INSURED DURING TRANSPORTATION TO SLAUGHTER
06/14/2005 DECISION AND ORDER 06/26/2009 6,700.00 CODY FRAME

HORSES INSURED DURING TRANSPORTATION TO SLAUGHTER
06/14/2005 DEFAULT DECISION 10/23/2009 0.00 CHARLES CARTER

TWO HORSES INSURED DURING TRANSPORTATION
06/14/2005 INSUFFICIENT EVIDENCE 10/17/2008 0.00 CHARLES CARTER

TWO HORSES INSURED DURING TRANSPORTATION
06/14/2005 INSUFFICIENT EVIDENCE 10/17/2008 0.00 DENNIS CHINN

HORSES INSURED DURING TRANSPORTATION, NO DRIVERS NAME LISTED, NO PHONE NUMBERS LISTED.
06/23/2005 DEFAULT DECISION 10/23/2009 0.00 CHARLES CARTER

FAILURE TO NOTIFY APHIS OF DEAD HORSE DURING TRANSPORT
07/05/2005 INSUFFICIENT EVIDENCE 10/17/2008 0.00 PERRY TITTER

FAILURE TO NOTIFY APHIS OF DEAD HORSE DURING TRANSPORT
07/05/2005 INSUFFICIENT EVIDENCE 10/17/2008 0.00 RANDALLS TRANSPORTATION

2 HORSES INSURED DURING TRANSPORTATION, NO DRIVERS NAME LISTED, NO PHONE NUMBERS LISTED.
07/05/2005 CONSENT DECISION 03/22/2010 DENNIS SPERKIN

2 HORSES INSURED DURING TRANSPORTATION, NO DRIVERS NAME LISTED, NO PHONE NUMBERS LISTED.
07/05/2005 CONSENT DECISION 04/01/2010 2,550.00 TRAVIS RESSELLER

2 HORSES INSURED DURING TRANSPORTATION, NO DRIVERS NAME LISTED, NO PHONE NUMBERS LISTED.
07/05/2005 CONSENT DECISION 04/02/2010 0.00 TROY RESSELLER

2 HORSES INSURED DURING TRANSPORTATION, NO DRIVERS NAME LISTED, NO PHONE NUMBERS LISTED.
07/05/2005 DEFAULT DECISION 10/23/2009 CHARLES CARTER

2 HORSES INSURED DURING TRANSPORTATION, NO DRIVERS NAME LISTED, NO PHONE NUMBERS LISTED.
07/05/2005 WARNING (7060) 07/29/2010 STEVE SMITH

DEAD HORSE DURING TRANSPORTATION, FAILED TO SEPARATE AGGRESSIVE EqUINE.
07/06/2005 DEFAULT DECISION 10/23/2009 0.00 CHARLES CARTER

DEAD HORSE DURING TRANSPORTATION, FAILED TO SEPARATE AGGRESSIVE EqUINE.
07/06/2005 STIPULATION 03/11/2010 0.00 GEORGE BAKER

DEAD HORSE DURING TRANSPORTATION, FAILED TO SEPARATE AGGRESSIVE EqUINE.
07/08/2005 STIPULATION 04/14/2010 0.00 JAMES CARPENTER

HORSE BROKE LEG AND DIED DURING TRANSPORT
07/25/2005 DEFAULT DECISION 10/23/2009 0.00 CHARLES CARTER

HORSE BROKE LEG AND DIED DURING TRANSPORT
07/25/2005 WARNING (7060) 08/06/2010 RICHARD FAZZONELLI

SLAUGHTER HORSES INSURED IN TRANSPORT, NO DATE, TIME THE HORSES WERE LOADED, NO LOCATION WHERE HORSES WERE LOADED.
08/01/2005 CONSENT DECISION 04/01/2010 0.00 EDWARD RESSELLER

SLAUGHTER HORSES INSURED IN TRANSPORT, NO DATE, TIME THE HORSES WERE LOADED, NO LOCATION WHERE HORSES WERE LOADED.
08/01/2005 CONSENT DECISION 04/01/2010 0.00 TRAVIS RESSELLER

SLAUGHTER HORSES INSURED IN TRANSPORT, NO DATE, TIME THE HORSES WERE LOADED, NO LOCATION WHERE HORSES WERE LOADED.
08/01/2005 CONSENT DECISION 04/01/2010 0.00 TROY RESSELLER

HORSES INSURED DURING TRANSPORTATION, NO DATE, NO TIME THE HORSES WERE LOADED, NO LOCATION WHERE HORSES WERE LOADED.
08/01/2005 WARNING (7060) 10/23/2009 BUD SHIRLEY

HORSES INSURED DURING TRANSPORTATION, NO DATE, NO TIME THE HORSES WERE LOADED, NO LOCATION WHERE HORSES WERE LOADED.
08/01/2005 WARNING (7060) 10/23/2009 DERRICK SMITH

HORSES INSURED DURING TRANSPORTATION, NO DATE, NO TIME THE HORSES WERE LOADED, NO LOCATION WHERE HORSES WERE LOADED.
08/01/2005 WARNING (7060) 10/23/2009 ROBERT PAULSON

HORSES INSURED DURING TRANSPORTATION, NO DATE, NO TIME THE HORSES WERE LOADED, NO LOCATION WHERE HORSES WERE LOADED.
08/01/2005 WARNING (7060) 10/23/2009 ROBERT PAULSON

SHIPPED INSURED HORSE THAT SHOULD OF BEEN SEEN BY A VET BEFORE SHIPPING TO SLAUGHTER.
09/06/2005 DECLINED TO PURCHASE 06/27/2010 0.00 BRET PETERSON

SHIPPED INSURED HORSE THAT SHOULD OF BEEN SEEN BY A VET BEFORE SHIPPING TO SLAUGHTER.
09/06/2005 STIPULATION 03/05/2009 1,250.00 JEFF PECK

SHIPPED INSURED HORSE THAT SHOULD OF BEEN SEEN BY A VET BEFORE SHIPPING TO SLAUGHTER.
09/06/2005 STIPULATION 03/05/2009 TERRY RUSSELL

HORSES INSURED DURING TRANSPORTATION
10/03/2005 DEFAULT DECISION 10/23/2009 0.00 RANDALLS TRANSPORTATION

HORSES INSURED DURING TRANSPORTATION
10/03/2005 WARNING (7060) 08/06/2010 JAMES CARPENTER

HORSE INSURED IN TRANSPORTATION TO SLAUGHTER
10/03/2005 DECISION AND ORDER 02/22/2010 0.00 GEORGE BAKER

HORSE INSURED IN TRANSPORTATION TO SLAUGHTER
10/03/2005 DECISION AND ORDER 02/22/2010 0.00 CHARLES CARTER
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<th>VS</th>
<th>SQUIRT TO SAUGER</th>
<th>HORSES INJURED DURING TRANSPORTATION</th>
<th>10/30/2005</th>
<th>INJURIES AT EVIDENCE 2017/2008 10:00 AM</th>
<th>CHARLES CARTER</th>
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<td>VS</td>
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<td>INJURIES AT EVIDENCE 2017/2008 10:00 AM</td>
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<td>DEFAULT DECISION 10/23/2009 6:00 AM</td>
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<td>12/05/2005</td>
<td>STIPULATION 03/05/2009 6:00 AM</td>
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<td>12/05/2005</td>
<td>WARNING 7506 11/28/2008 6:00 AM</td>
<td>GREG SCHWARTZ</td>
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<td>12/05/2005</td>
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<td>DENNIS SPREBAKKEN</td>
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<td>RANDALL BRUMBAUGH</td>
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IL06050  VS  SQUIRE TO SAULTER  1 HORSE INJURED DURING TRANSPORTATION TO SAULTER  12/05/2005  DEFAULT DECISION  05/07/2009  $0.00  ROBERT PAULSON
IL06050  VS  SQUIRE TO SAULTER  1 HORSE INJURED DURING TRANSPORTATION TO SAULTER  12/05/2005  DEFAULT DECISION  10/23/2009  $0.00  CHARLES CARTER
IL06051  VS  SQUIRE TO SAULTER  HORSE INJURED DURING TRANSPORTATION TO SAULTER  12/05/2005  CONSENT DECISION  03/22/2010  $0.00  DENNIS SPBREKSEN
IL06051  VS  SQUIRE TO SAULTER  HORSE INJURED DURING TRANSPORTATION TO SAULTER  12/05/2005  CONSENT DECISION  05/11/2010  $0.00  RANDALL BRINDFLEGH
IL06051  VS  SQUIRE TO SAULTER  HORSE INJURED DURING TRANSPORTATION TO SAULTER  12/05/2005  DEFAULT DECISION  05/07/2009  $0.00  ROBERT PAULSON
IL06051  VS  SQUIRE TO SAULTER  HORSE INJURED DURING TRANSPORTATION TO SAULTER  12/05/2005  DEFAULT DECISION  10/23/2009  $0.00  CHARLES CARTER
IL06052  VS  SQUIRE TO SAULTER  HORSE INJURED DURING TRANSPORTATION TO SAULTER  12/05/2005  CONSENT DECISION  04/01/2010  $0.00  EDWARD RESSLER
IL06052  VS  SQUIRE TO SAULTER  HORSE INJURED DURING TRANSPORTATION TO SAULTER  12/05/2005  CONSENT DECISION  04/01/2010  $0.00  EDWARD RESSLER
IL06052  VS  SQUIRE TO SAULTER  HORSE INJURED DURING TRANSPORTATION TO SAULTER  12/05/2005  DEFAULT DECISION  10/23/2009  $0.00  CHARLES CARTER
IL06052  VS  SQUIRE TO SAULTER  HORSE INJURED DURING TRANSPORTATION TO SAULTER  12/05/2005  DEFAULT DECISION  10/23/2009  $0.00  CHARLES CARTER
IL06052  VS  SQUIRE TO SAULTER  HORSE INJURED DURING TRANSPORTATION TO SAULTER  12/05/2005  CONSENT DECISION  12/23/2005  $0.00  RIAN SCHMIDER
IL06060  VS  SQUIRE TO SAULTER  HORSE INJURED DURING TRANSPORTATION TO SAULTER, INCOMPLETE PAPERWORK, FAILURE TO MEET USDA INSPECTOR, HORSES ON TRUCK FOR 10 HOURS  05/07/2009  CONSENT DECISION  04/01/2010  $0.00  EDWARD RESSLER
IL06060  VS  SQUIRE TO SAULTER  HORSE INJURED DURING TRANSPORTATION TO SAULTER, INCOMPLETE PAPERWORK, FAILURE TO MEET USDA INSPECTOR, HORSES ON TRUCK FOR 10 HOURS  12/23/2005  CONSENT DECISION  04/01/2010  $0.00  EDWARD RESSLER
IL06060  VS  SQUIRE TO SAULTER  HORSE INJURED DURING TRANSPORTATION TO SAULTER, INCOMPLETE PAPERWORK, FAILURE TO MEET USDA INSPECTOR, HORSES ON TRUCK FOR 10 HOURS  12/23/2005  CONSENT DECISION  04/01/2010  $0.00  EDWARD RESSLER
IL06060  VS  SQUIRE TO SAULTER  HORSE INJURED DURING TRANSPORTATION TO SAULTER, INCOMPLETE PAPERWORK, FAILURE TO MEET USDA INSPECTOR, HORSES ON TRUCK FOR 10 HOURS  12/23/2005  CONSENT DECISION  04/01/2010  $0.00  EDWARD RESSLER
IL06062  VS  SQUIRE TO SAULTER  HORSE INJURED DURING TRANSPORTATION TO SAULTER  11/09/2005  CONSENT DECISION  09/23/2009  $0.00  SCOTT KIRKENBACH
IL06065  VS  SQUIRE TO SAULTER  NUMEROUS PAPERWORK VIOLATIONS OF ROPS, PARTS  03/04/2006  DEFAULT DECISION  10/23/2009  $0.00  CHARLES CARTER
IL06160  VS  SQUIRE TO SAULTER  NUMEROUS INJURED HORSES DURING TRANSPORTATION TO SAULTER  07/18/2006  DEFAULT DECISION  10/23/2009  $0.00  DENNIS SPBREKSEN
IL06160  VS  SQUIRE TO SAULTER  NUMEROUS INJURED HORSES DURING TRANSPORTATION TO SAULTER  07/18/2006  DEFAULT DECISION  10/23/2009  $0.00  DENNIS SPBREKSEN
IL06160  VS  SQUIRE TO SAULTER  NUMEROUS INJURED HORSES DURING TRANSPORTATION TO SAULTER  07/18/2006  DEFAULT DECISION  10/23/2009  $0.00  DENNIS SPBREKSEN
IL06165  VS  SQUIRE TO SAULTER  HORSES INJURED DURING TRANSPORT TO SAULTER  09/09/2006  DENIED / DECLINED  04/29/2008  $0.00  BOBBY YOUNG
IL06165  VS  SQUIRE TO SAULTER  HORSES INJURED DURING TRANSPORT TO SAULTER  09/09/2006  DENIED / DECLINED  04/29/2008  $0.00  BOBBY YOUNG
IL07009  VS  SQUIRE TO SAULTER  HORSE WAS UNABLE TO BEAR WEIGHT ON LEGS  10/06/2005  NO VIOLATION  05/16/2008  355 HORSE CO
IL07009  VS  SQUIRE TO SAULTER  HORSE WAS UNABLE TO BEAR WEIGHT ON LEGS  10/06/2006  STIPULATION  05/23/2008  $0.00  WILLIAM FACKER
IL07009  VS  SQUIRE TO SAULTER  HORSE WAS UNABLE TO BEAR WEIGHT ON LEGS  10/06/2006  STIPULATION  05/23/2008  $0.00  WILLIAM FACKER
IL07009  VS  SQUIRE TO SAULTER  HORSE WAS UNABLE TO BEAR WEIGHT ON LEGS  10/06/2006  STIPULATION  05/27/2008  $675.00  JERRY HARDERS
IL07009  VS  SQUIRE TO SAULTER  HORSE WAS UNABLE TO BEAR WEIGHT ON LEGS  10/06/2006  STIPULATION  05/27/2008  $675.00  WILLIAM KOBIEK
IL07010  VS  SQUIRE TO SAULTER  VS FORM 10-13 DID NOT LIST 2 STALLIONS AND WERE NOT SEPARATED.  10/06/2005  NO VIOLATION  05/16/2008  355 HORSE CO
IL07010  VS  SQUIRE TO SAULTER  VS FORM 10-13 DID NOT LIST 2 STALLIONS AND WERE NOT SEPARATED.  10/06/2005  STIPULATION  05/22/2008  $1,750.00  LARRY DUDIN
IL07010  VS  SQUIRE TO SAULTER  VS FORM 10-13 DID NOT LIST 2 STALLIONS AND WERE NOT SEPARATED.  10/06/2005  STIPULATION  05/22/2008  $0.00  WILLIAM FACKER
IL07010  VS  SQUIRE TO SAULTER  VS FORM 10-13 DID NOT LIST 2 STALLIONS AND WERE NOT SEPARATED.  10/06/2005  STIPULATION  05/22/2008  $0.00  WILLIAM FACKER
IL07010  VS  SQUIRE TO SAULTER  VS FORM 10-13 DID NOT LIST 2 STALLIONS AND WERE NOT SEPARATED.  10/06/2005  STIPULATION  05/22/2008  $0.00  WILLIAM FACKER
IL07011  VS  SQUIRE TO SAULTER  VS FORM 10-13 DID NOT LIST EXISTING CONCLUSIONS.  10/06/2005  NO VIOLATION  05/16/2008  355 HORSE CO
IL07011  VS  SQUIRE TO SAULTER  VS FORM 10-13 DID NOT LIST EXISTING CONCLUSIONS.  10/06/2005  STIPULATION  05/22/2008  $0.00  WILLIAM FACKER
IL07011  VS  SQUIRE TO SAULTER  VS FORM 10-13 DID NOT LIST EXISTING CONCLUSIONS.  10/06/2005  STIPULATION  05/22/2008  $0.00  WILLIAM FACKER
IL07011  VS  SQUIRE TO SAULTER  VS FORM 10-13 DID NOT LIST EXISTING CONCLUSIONS.  10/06/2005  STIPULATION  05/22/2008  $0.00  WILLIAM FACKER
IL07012  VS  SQUIRE TO SAULTER  INJURED HORSES DURING TRANSPORTATION TO SAULTER, NO VS FORM 10-13, STALLIONS NOT CONSENTED TO.  10/13/2005  CONSENT DECISION  10/27/2009  $1,000.00  JOHN HALLSTED
03/01/2007 INSUFFICIENT EVIDENCE 01/13/2011 $0.00 MIDWEST LIVESTOCK EXPRESS INC

05/01/2007 INSUFFICIENT EVIDENCE 01/13/2011 $0.00 TRACY PETERS

05/01/2007 INSUFFICIENT EVIDENCE 01/13/2011 $0.00 JEFF PICK

05/01/2007 INSUFFICIENT EVIDENCE 01/13/2011 $0.00 MIDWEST LIVESTOCK EXPRESS INC

05/01/2007 INSUFFICIENT EVIDENCE 01/13/2011 $0.00 BYRON FRAZIER

05/01/2007 INSUFFICIENT EVIDENCE 01/13/2011 $0.00 JEFF PICK

05/01/2007 INSUFFICIENT EVIDENCE 01/13/2011 $0.00 MIDWEST LIVESTOCK EXPRESS INC

06/06/2007 STIPULATION 03/03/2009 $0.00 JEFF PICK

06/06/2007 STIPULATION 03/03/2009 $0.00 BIL L. RICHARDSON

06/06/2007 WARNING (7060) 07/20/2010 $0.00 JOE DENNEY

06/06/2007 WARNING (7060) 07/20/2010 $0.00 NORMAN FRANKLIN

06/06/2007 WARNING (7060) 07/20/2010 $0.00 ROBERT PARRISH

06/06/2007 WARNING (7060) 03/20/2011 $0.00 CHARLES A PARRISH

06/06/2007 WARNING (7060) 03/20/2011 $0.00 JAMES HALL

06/06/2007 WARNING (7060) 03/20/2011 $0.00 JOHN E. EUSENGLE

06/06/2007 WARNING (7060) 03/20/2011 $0.00 J. D. HEIN

06/06/2007 DENIED / DECLINED 02/03/2010 $0.00 JOHN D. HEIN

06/06/2007 DENIED / DECLINED 02/03/2010 $0.00 CHARLES CARTER

06/06/2007 DENIED / DECLINED 02/03/2010 $0.00 DENNIS R. SHEPERS

06/06/2007 DENIED / DECLINED 02/03/2010 $0.00 KEITH GIBSON

06/06/2007 DENIED / DECLINED 02/03/2010 $0.00 KEVIN BROWN

06/06/2007 DENIED / DECLINED 02/03/2010 $0.00 KERRY L. PIERSCH

06/06/2007 DENIED / DECLINED 02/03/2010 $0.00 TOOEY D. DENNY

06/06/2007 DENIED / DECLINED 02/03/2010 $0.00 CHARLES CARTER

06/06/2007 DENIED / DECLINED 02/03/2010 $0.00 DERRY WHITMAN
IL07181 VS EQUINE TO Slaughter 58
US FORM 10-13, OWNERSHIP CERTIFICATES INCOMPLETE AND INACCURATE, INJURED HORSES TRANSPORTED INTERSTATE FOR SLAUGHTER, ONE SHIPMENT 30.5 HRS - 15 TOTAL SHIPMENTS
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 JUSTIN PORTER

IL07181 VS EQUINE TO Slaughter 58
US FORM 10-13, OWNERSHIP CERTIFICATES INCOMPLETE AND INACCURATE, INJURED HORSES TRANSPORTED INTERSTATE FOR SLAUGHTER, ONE SHIPMENT 30.5 HRS - 15 TOTAL SHIPMENTS
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 KOREY L PIERSON

IL07181 VS EQUINE TO Slaughter 58
US FORM 10-13, OWNERSHIP CERTIFICATES INCOMPLETE AND INACCURATE, INJURED HORSES TRANSPORTED INTERSTATE FOR SLAUGHTER, ONE SHIPMENT 30.5 HRS - 15 TOTAL SHIPMENTS
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 RICHARD FACCHIELLI

IL07181 VS EQUINE TO Slaughter 58
US FORM 10-13, OWNERSHIP CERTIFICATES INCOMPLETE AND INACCURATE, INJURED HORSES TRANSPORTED INTERSTATE FOR SLAUGHTER, ONE SHIPMENT 30.5 HRS - 15 TOTAL SHIPMENTS
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 TODD DEWRY

IL07182 VS EQUINE TO Slaughter 58
US FORM 10-13'S INCOMPLETE AND NOT ACCURATE, INJURED AND DEAD HORSES FOUND IN TRAILERS AT DESTINATION OF SLAUGHTER EQUINE MOVEMENTS; 12 SHIPMENTS OF EQUINE
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 CHARLES CARTER

IL07182 VS EQUINE TO Slaughter 58
US FORM 10-13'S INCOMPLETE AND NOT ACCURATE, INJURED AND DEAD HORSES FOUND IN TRAILERS AT DESTINATION OF SLAUGHTER EQUINE MOVEMENTS; 12 SHIPMENTS OF EQUINE
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 DENNY WHITEMAN

IL07182 VS EQUINE TO Slaughter 58
US FORM 10-13'S INCOMPLETE AND NOT ACCURATE, INJURED AND DEAD HORSES FOUND IN TRAILERS AT DESTINATION OF SLAUGHTER EQUINE MOVEMENTS; 12 SHIPMENTS OF EQUINE
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 JAMES HALL

IL07182 VS EQUINE TO Slaughter 58
US FORM 10-13'S INCOMPLETE AND NOT ACCURATE, INJURED AND DEAD HORSES FOUND IN TRAILERS AT DESTINATION OF SLAUGHTER EQUINE MOVEMENTS; 12 SHIPMENTS OF EQUINE
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 KEITH GIBSON

IL07182 VS EQUINE TO Slaughter 58
US FORM 10-13'S INCOMPLETE AND NOT ACCURATE, INJURED AND DEAD HORSES FOUND IN TRAILERS AT DESTINATION OF SLAUGHTER EQUINE MOVEMENTS; 12 SHIPMENTS OF EQUINE
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 RICHARD FACCHIELLI

IL07182 VS EQUINE TO Slaughter 58
US FORM 10-13'S INCOMPLETE AND NOT ACCURATE, INJURED AND DEAD HORSES FOUND IN TRAILERS AT DESTINATION OF SLAUGHTER EQUINE MOVEMENTS; 12 SHIPMENTS OF EQUINE
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 TODD DEWRY

IL07183 VS EQUINE TO Slaughter 58
US FORM 10-13, OWNERSHIP CERTIFICATE, NOT FULLY COMPLETE OR ACCURATE, INJURED OR DEAD HORSES, HORSES IN TRANSIT, STALLIONS COMBINED W/ OTHER HORES ON CONVEYANCE, MOVED IN INTERSTATE COMMERCIAL FOR SLAUGHTER, 7 SHIPMENTS
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 ANTHONY ETTINNE

IL07183 VS EQUINE TO Slaughter 58
US FORM 10-13, OWNERSHIP CERTIFICATE, NOT FULLY COMPLETE OR ACCURATE, INJURED OR DEAD HORSES, HORSES IN TRANSIT, STALLIONS COMBINED W/ OTHER HORES ON CONVEYANCE, MOVED IN INTERSTATE COMMERCIAL FOR SLAUGHTER, 7 SHIPMENTS
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 CHARLES CARTER

IL07183 VS EQUINE TO Slaughter 58
US FORM 10-13, OWNERSHIP Certification NOT FULLY COMPLETE OR ACCURATE, INJURED OR DEAD HORSES, HORSES IN TRANSIT, STALLIONS COMBINED W/ OTHER HORES ON CONVEYANCE, MOVED IN INTERSTATE COMMERCIAL FOR SLAUGHTER, 7 SHIPMENTS
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 JAMES HALL

IL07183 VS EQUINE TO Slaughter 58
US FORM 10-13, OWNERSHIP CERTIFICATE, NOT FULLY COMPLETE OR ACCURATE, INJURED OR DEAD HORSES, HORSES IN TRANSIT, STALLIONS COMBINED W/ OTHER HORES ON CONVEYANCE, MOVED IN INTERSTATE COMMERCIAL FOR SLAUGHTER, 7 SHIPMENTS
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 KORY PIERSON

IL07183 VS EQUINE TO Slaughter 58
US FORM 10-13, OWNERSHIP CERTIFICATE, NOT FULLY COMPLETE OR ACCURATE, INJURED OR DEAD HORSES, HORSES IN TRANSIT, STALLIONS COMBINED W/ OTHER HORES ON CONVEYANCE, MOVED IN INTERSTATE COMMERCIAL FOR SLAUGHTER, 7 SHIPMENTS
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 MONTE SHAWN CLARK

IL07183 VS EQUINE TO Slaughter 58
US FORM 10-13, OWNERSHIP CERTIFICATE, NOT FULLY COMPLETE OR ACCURATE, INJURED OR DEAD HORSES, HORSES IN TRANSIT, STALLIONS COMBINED W/ OTHER HORES ON CONVEYANCE, MOVED IN INTERSTATE COMMERCIAL FOR SLAUGHTER, 7 SHIPMENTS
06/06/2007 DENIED / DECLINED 02/05/2010 $0.00 RICHARD FACCHIELLI

IL07184 VS EQUINE TO Slaughter
INJURED EQUINE MOVED IN INTERSTATE COMMERCIAL FOR SLAUGHTER
06/06/2007 SUBMITTED FOR EXTREM 07/26/2009 $0.00 ART MONK
ILL0189  VS  EQUINE TO SAUGHTER  88  EQUINE FOUND DEAD ON CONVEYANCE OF COMMERCIAL TRANSPORT IN INTERSTATE COMMERCE TO SAUGHTER  06/06/2007  STEPPULATION  06/20/2010  $1,500.00  ROGER SMITH

ILL0190  VS  EQUINE TO SAUGHTER  88  INJURED EQUINE MOVED IN INTERSTATE COMMERCE FOR SLAUGHTER, 3 SHIPMENTS/DATES  06/06/2007  DECISION AND ORDER  06/03/2010  $0.00  DALE HALEY

ILL0191  VS  EQUINE TO SAUGHTER  88  INJURED EQUINE MOVED IN INTERSTATE COMMERCE FOR SLAUGHTER  06/06/2007  SUBMITTED FOR ENTERP- 07/16/2009  $0.00  RORY SEBASTIAN

ILL0192  VS  EQUINE TO SAUGHTER  88  INJURED EQUINE MOVED IN INTERSTATE COMMERCE FOR SLAUGHTER, 4 SHIPMENTS/DATES  06/06/2007  DEFAULT DECISION  12/13/2010  $40,000.00  BILLY ROWAN

ILL0192  VS  EQUINE TO SAUGHTER  88  INJURED EQUINE MOVED IN INTERSTATE COMMERCE FOR SLAUGHTER, 4 SHIPMENTS/DATES  06/06/2007  WARNING (7060)  03/25/2010  $0.00  DENNIS MOLLEY TRUCKING

ILL0192  VS  EQUINE TO SAUGHTER  88  INJURED EQUINE MOVED IN INTERSTATE COMMERCE FOR SLAUGHTER, 4 SHIPMENTS/DATES  06/06/2007  WARNING (7060)  03/25/2010  $0.00  EDGAR BARBERA

ILL0192  VS  EQUINE TO SAUGHTER  88  INJURED EQUINE MOVED IN INTERSTATE COMMERCE FOR SLAUGHTER, 4 SHIPMENTS/DATES  06/06/2007  WARNING (7060)  02/25/2010  $0.00  J & H TRUCKING

ILL0192  VS  EQUINE TO SAUGHTER  88  INJURED EQUINE MOVED IN INTERSTATE COMMERCE FOR SLAUGHTER, 4 SHIPMENTS/DATES  06/06/2007  WARNING (7060)  02/25/2010  $0.00  JAMES GRAHAM

ILL0192  VS  EQUINE TO SAUGHTER  88  INJURED EQUINE MOVED IN INTERSTATE COMMERCE FOR SLAUGHTER, 4 SHIPMENTS/DATES  06/06/2007  WARNING (7060)  03/25/2010  $0.00  JIMMY PERILTON

ILL0192  VS  EQUINE TO SAUGHTER  88  INJURED EQUINE MOVED IN INTERSTATE COMMERCE FOR SLAUGHTER, 4 SHIPMENTS/DATES  06/06/2007  WARNING (7060)  03/25/2010  $0.00  TERRY BLACKSTOCK

ILL0193  VS  EQUINE TO SAUGHTER  88  INJURED EQUINE MOVED IN INTERSTATE COMMERCE FOR SLAUGHTER  06/06/2007  CONSENT DECISION  09/23/2009  $0.00  SCOTT KURSTENBACK

ILL0194  VS  EQUINE TO SAUGHTER  88  INJURED EQUINE MOVED IN INTERSTATE COMMERCE FOR SLAUGHTER  06/06/2007  WARNING (7060)  10/16/2010  $0.00  DAVID SHAW

ILL0203  VS  EQUINE TO SAUGHTER  88  FAILED TO TRANSPORT EQUINE INTERSTATE FOR SLAUGHTER WITH ACCURATE AND FULLY COMPLETED DOCUMENTS (VS FORM 10-13)  06/06/2007  DEFAULT DECISION  10/04/2011  $31,575.00  MITCHELL STANLEY

ILL0203  VS  EQUINE TO SAUGHTER  88  FAILED TO TRANSPORT EQUINE INTERSTATE FOR SLAUGHTER WITH ACCURATE AND FULLY COMPLETED DOCUMENTS (VS FORM 10-13)  06/06/2007  WARNING (7060)  01/24/2011  $0.00  CHARLES W JR POKER

ILL0204  VS  EQUINE TO SAUGHTER  88  TWO INTERSTATE MOVEMENTS IN COMMERCE OF EQUINE FOR SLAUGHTER, VS FORM 10-13 INCOMPLETE.  06/06/2007  WARNING (7060)  10/25/2010  $0.00  DOUG CARSON

ILL0204  VS  EQUINE TO SAUGHTER  88  TWO INTERSTATE MOVEMENTS IN COMMERCE OF EQUINE FOR SLAUGHTER, VS FORM 10-13 INCOMPLETE.  06/06/2007  WARNING (7060)  10/25/2010  $0.00  STEVEN BETTS

ILL0210  VS  EQUINE TO SAUGHTER  88  TRANSPORT OF HORSES ALLEGEDLY MOVED FOR SLAUGHTER IN DOUBLE DECKER TRAILERS, VEHICLE Hauling horses involved in accident in Northern Illinois in transit from Shreveport to PINEISOTA  10/30/2007  INSUFFICIENT EVIDENCE 06/02/2010  $0.00  JAMES E ANDERSON

ILL0210  VS  EQUINE TO SAUGHTER  88  X

IL120443  VS  EQUINE TO SAUGHTER

IL120443  VS  EQUINE TO SAUGHTER

IL120443  VS  EQUINE TO SAUGHTER

IN0010  VS  EQUINE TO SAUGHTER  88  RECORDS VIOLATION; FAILURE TO RECORD INFORMATION ON OWNER-SHIPER CERTIFICATE PROPERLY  12/03/2006  DENIED / DECLINED  05/14/2012  DALE M HALEY

K590016  VS  EQUINE TO SAUGHTER  88  COMMERCIAL TRANSPORTATION OF HORSES  07/27/2006  WARNING (7060)  10/28/2009  JESSE ROBINSON
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<th>Case Number</th>
<th>VS</th>
<th>Plaintiff</th>
<th>Defendant</th>
<th>Description</th>
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<th>Amount</th>
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<td>KY05066</td>
<td>VS</td>
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<td>ABB</td>
<td>FAILURE TO PROPERLY COMPLETE OWNER/SHIPPER CERTIFICATE, USED SAME PREFIX TAG NUMBER AS LEROY BAKER.</td>
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<td>ABB</td>
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<td>MD01002</td>
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<td>FAILURE TO PROPERLY COMPLETE VS 10-13 FORMS</td>
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<td>FAILED TO PROPERLY COMPLETE THE VS FORM 10-13 FOR THE TRANSPORTATION OF EQUINES TO SLAUGHTER</td>
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NM07010  VS  EQUINE TO SLAUGHTER  88  EQUINES TO SLAUGHTER, 1 NOT ABLE TO BEAR WEIGHT ON ALL FOUR LEGS, NOT DOC ON 10-13  01/28/2007  DENIED / DECLINED  03/28/2009  $50.00  HONZARES MUNOZ

NM07013  VS  EQUINE TO SLAUGHTER  88  HORSES TO SLAUGHTER VIOLATIONS / NO PAPERWORK  03/12/2007  STIPULATION  06/18/2010  $1,100.00  DALE QUINN

NM10331  VS  EQUINE TO SLAUGHTER  88  SHIP VIOLATIONS-SHIPPING LATE TERM PREGNANCY MARES TO SLAUGHTER  07/22/2010  STIPULATION  08/02/2011  $3,750.00  DENNIS CHAVIZ

NM10331  VS  EQUINE TO SLAUGHTER  88  SHIP VIOLATIONS-SHIPPING LATE TERM PREGNANCY MARES TO SLAUGHTER  07/32/2010  WARNING (7060)  06/20/2011  $0.00  JOSE ARBALLO

NM120018  VS  EQUINE TO SLAUGHTER  Four horses were discovered by, and videoed, by Animals Angels group exhibiting signs of cruel neglect. The four horses were emaciated and too weak to stand up. One horse (white mane) had unattended fresh wounds on her protruding hip bones. The arena ground around the mare showed signs of long term struggle. The video’s on youtube document the four horses condition and wounds. A copy of an article published in the ARQuarium is attached.  04/06/2012

NY05067  VS  EQUINE TO SLAUGHTER  88  FAILURE TO PROPERLY COMPLETE VS FORM 10-13, INCAPACITATING THE PHYSICAL FITNESS OF THE HORSES(ES) AND PROVIDING A SIGNATURE AS THE OWNER/SHIPPER.  03/22/2005  STIPULATION  07/29/2008  $0.00  DON NIEDERSON

NY05068  VS  EQUINE TO SLAUGHTER  88  FAILURE TO PROPERLY COMPLETE VS FORM 10-13.  05/23/2005  STIPULATION  07/29/2008  $0.00  DON NIEDERSON

NY07290  VS  EQUINE TO SLAUGHTER  88  INJURED (BLIND) HORSES IMPORTED INTO CANADA.  07/30/2007  STIPULATION  08/04/2008  $3,100.00  BRUCE K. ROTZ

NY07291  VS  EQUINE TO SLAUGHTER  88  INJURED (BLIND) HORSES IMPORTED INTO CANADA. NO IDENTIFICATION OF BLINDNESS ON VS FORM 10-13  07/30/2007  STIPULATION  07/07/2008  $3,100.00  FRED BAUER

NY07292  VS  EQUINE TO SLAUGHTER  88  INJURED (BLIND) HORSES IMPORTED INTO CANADA.  07/30/2007  STIPULATION  07/29/2008  $3,100.00  DON NIEDERSON

NY07340  VS  NONE  93  HORSE IMPORTED WAS IMPOSTER OF ANOTHER. HORSE LACKED REQUIRED VETERINARY DOCUMENTS.  08/15/2007  WARNING (7060)  05/08/2008  $0.00  COLIN JOHNSON

NY09122  VS  EQUINE TO SLAUGHTER  88  SUBJECT TRANSPORTED HORSE FOR SLAUGHTER WITH INCOMPLETE VS FORM 10-13 ON NUMEROUS OCCASIONS  12/20/2008  STIPULATION  02/15/2011  $900.00  DON NIEDERSON

NY09122  VS  EQUINE TO SLAUGHTER  88  SUBJECT TRANSPORTED HORSE FOR SLAUGHTER WITH INCOMPLETE VS FORM 10-13 ON NUMEROUS OCCASIONS  12/20/2008  WARNING (7060)  02/15/2011  $0.00  LAHAR HOOVER

NY09122  VS  EQUINE TO SLAUGHTER  88  SUBJECT TRANSPORTED HORSE FOR SLAUGHTER WITH INCOMPLETE VS FORM 10-13 ON NUMEROUS OCCASIONS  12/20/2008  WARNING (7060)  04/18/2011  $0.00  BENJAMEN PERRY

NY11373  VS  EQUINE TO SLAUGHTER  88  THREE HORSES TRANSPORTED TO CA FOR SLAUGHTER WERE FOUND TO BE UNFIT. THE ENTIRE LOAD WAS REFUSED. IN ADDITION TO THIS ISSUE, THERE IS A VETERINARY ACCREDITATION ISSUE AS WELL.  07/06/2011  INSUFFICIENT EVIDENCE 10/24/2011  $0.00  DONALD NIEDERSON

NY120180  VS  EQUINE TO SLAUGHTER

NY120209  VS  EQUINE TO SLAUGHTER

NY12028  VS  EQUINE TO SLAUGHTER  88  SUBJECT SHIPPED HORSES INTENDED FOR SLAUGHTER CONTRARY TO THE REGULATIONS.  10/23/2011  DENIED / DECLINED  05/14/2012  BRIAN S. MOORE

NY160107  VS  EQUINE TO SLAUGHTER  88  SLAUGHTER HORSES SHIPPED TO CANADA FOUND TO BE LAME & DOA  04/23/2008  DECLINED TO PURSUE  02/06/2011  $0.00  LEROY W. BAKER, JR.

NY160107  VS  EQUINE TO SLAUGHTER  88  SLAUGHTER HORSES SHIPPED TO CANADA FOUND TO BE LAME & DOA  04/23/2008  DECLINED TO PURSUE  03/09/2011  $0.00  ROBERT E. ZIMMERLY
CM012177 VS SQUEEZE TO SLAUGHTER 05 SLAUGHTER HORSES SHIPPED TO CANADA FOUND TO BE LAME & DOA 04/23/2008 DECLINED TO PURSUE 03/09/2011 $8.00 SHEILA LORIGEL
CM012177 VS SQUEEZE TO SLAUGHTER 05 SLAUGHTER HORSES SHIPPED TO CANADA FOUND TO BE LAME & DOA 04/23/2008 WARNING (7060) 06/01/2010 $8.00 GREGORY L. DAVIS
CM012166 VS SQUEEZE TO SLAUGHTER 05 TRANSPORT OF HORSES TO SLAUGHTER IN TX - VIOLATION OF THE 28 HOUR LAW 05/22/2008 DECLINED TO PURSUE 03/09/2011 $8.00 JIM BANKER
CM012166 VS SQUEEZE TO SLAUGHTER 05 TRANSPORT OF HORSES TO SLAUGHTER IN TX - VIOLATION OF THE 28 HOUR LAW 05/22/2008 DECLINED TO PURSUE 03/09/2011 $8.00 SUGARREEV LIVESTOCK AUCTION
CM012166 VS SQUEEZE TO SLAUGHTER 05 TRANSPORT OF HORSES TO SLAUGHTER IN TX - VIOLATION OF THE 28 HOUR LAW 05/22/2008 WARNING (7060) 06/01/2010 $8.00 GREGORY L. DAVIS
CM056022 VS SQUEEZE TO SLAUGHTER 05 TRANSPORTED A 12 WEEK OLD FOAL WITH ITS MOTHER TO SLAUGHTER 11/24/2008 INsUFFICIENT EVIDENCE 12/13/2010 $8.00 FRED BUAER
CM056022 VS SQUEEZE TO SLAUGHTER 05 TRANSPORTED A 12 WEEK OLD FOAL WITH ITS MOTHER TO SLAUGHTER 11/24/2008 INsUFFICIENT EVIDENCE 12/13/2010 $8.00 LES PERRIN & GODDOUT ET FLS
CM056023 VS SQUEEZE TO SLAUGHTER 05 FAILED TO ACCURATELY COMPLETE THE VS FORM 10-13 FOR MOVEMENT OF SLAUGHTER HORSES 04/27/2009 WARNING (7060) 06/11/2009 $8.00 STEVE LANDOAF
CM056023 VS SQUEEZE TO SLAUGHTER 05 FAILED TO ACCURATELY COMPLETE THE VS FORM 10-13 FOR MOVEMENT OF SLAUGHTER HORSES 04/27/2009 WARNING (7060) 06/11/2009 $8.00 STEVE LANDOAF
CM122023 VS SQUEEZE TO SLAUGHTER 05 OWNER SHIPPER OF A SHIPMENT OF EQUINE DESTINED TO SLAUGHTER FAILED TO DRIVE IN A MANNER TO AVOID CAUSING INJURY TO THE EQUINES. 05/02/2010 NO VIOLATION 01/10/2012 BROOKE TERRY
PA06335 VS SQUEEZE TO SLAUGHTER 05 FAILED TO PROPERLY COMPLETE THE VS FORM 10-13 FOR THE TRANSPORTATION OF EQUINES TO SLAUGHTER 01/23/2010 STIPULATION 06/04/2008 $8.00 BRUCE ROTTZ
PA06337 VS SQUEEZE TO SLAUGHTER 05 FAILED TO PROPERLY COMPLETE THE VS FORM 10-13 FOR THE TRANSPORTATION OF EQUINES TO SLAUGHTER 04/10/2010 STIPULATION 06/04/2008 $8.00 BRUCE ROTTZ
PA06338 VS SQUEEZE TO SLAUGHTER 05 FAILED TO PROPERLY COMPLETE THE VS FORM 10-13 FOR THE TRANSPORTATION OF EQUINES TO SLAUGHTER 05/25/2010 STIPULATION 06/04/2008 $8.00 BRUCE ROTTZ
PA059040 VS SQUEEZE TO SLAUGHTER 05 FAILED TO ACCURATELY COMPLETE THE OWNER-SHIPPER CERTIFICATE 06/04/2009 WARNING (7060) 06/11/2009 $8.00 BRIAN MOORE
SD000015 VS SQUEEZE TO SLAUGHTER 05 NUMEROUS VIOLATIONS OF 9 CFR PART 88 COMMERCIAL TRANSPORTATION OF EQUINES TO SLAUGHTER, TIMES AND DATES MISSING ON 10-13 FORMS, NON-RE TAGGING OF EQUINE 04/28/2009 STIPULATION 11/09/2010 $300.00 MUSICK LIVESTOCK LLC
TN022016 VS SQUEEZE TO SLAUGHTER 05

TN022053 VS SQUEEZE TO SLAUGHTER 05
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<td>TH04183</td>
<td>VIOLATION OF THE TIME HORSES WERE LOADED ON THE CONVEYANCE</td>
<td>05/05/2004</td>
<td>DECISION AND ORDER</td>
<td>08/05/2009</td>
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<td>TH04183</td>
<td>VIOLATION OF THE TIME HORSES WERE LOADED ON THE CONVEYANCE</td>
<td>05/05/2004</td>
<td>DECISION AND ORDER</td>
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<tr>
<td>TH04211</td>
<td>OWNERSHIP/SHIPMENT CERTIFICATE WAS INCOMPLETE: NO STATEMENT AS TO FITNESS TO TRAVEL</td>
<td>06/24/2004</td>
<td>DECISION AND ORDER</td>
<td>09/11/2008</td>
<td>BILL WILSON</td>
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<td>TH04217</td>
<td>COMMERCIAL SLAUGHTER HORSE MOVEMENT INVOLVING A HORSE THAT Died ENDGAME</td>
<td>07/01/2011</td>
<td>CONSENT DECISION</td>
<td>07/11/2011</td>
<td>BILLY ROWAN</td>
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<td>TH04217</td>
<td>COMMERCIAL SLAUGHTER HORSE MOVEMENT INVOLVING A HORSE THAT Died ENDGAME</td>
<td>07/07/2011</td>
<td>CONSENT DECISION</td>
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<td>MONTY CLARK</td>
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<td>TH04227</td>
<td>TECHNICAL VIOLATION IN FAILURE TO ACCURATELY COMPLETE VS FORM 10-13</td>
<td>07/25/2014</td>
<td>DECISION AND ORDER</td>
<td>10/28/2010</td>
<td>BILL RICHARDSON</td>
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<tr>
<td>TH04228</td>
<td>FAILURE TO PROPERLY COMPLETE VS FORM 10-13 FOR THREE SHIPMENTS</td>
<td>07/25/2014</td>
<td>DECISION AND ORDER</td>
<td>09/15/2009</td>
<td>JOE SIMON</td>
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</table>
FAILURE TO PROPERLY COMPLETE VS FORM 10-13, ALLEGED THAT 3 STALLIONS CO-MINGLED WITH REST OF LOAD.

07/26/2004

DEFAULT DECISION

07/29/2009

$0.00

JACK RIESNER

FAILURE TO PROPERLY COMPLETE VS FORM 10-13, ALLEGED THAT 3 STALLIONS CO-MINGLED WITH REST OF LOAD.

07/26/2004

WARNING (7060)

06/07/2005

$0.00

DAVE KUPPERSHIMMER

FAILURE TO PROPERLY COMPLETE VS FORM 10-13, ALLEGED THAT 3 STALLIONS CO-MINGLED WITH REST OF LOAD.

07/26/2004

WARNING (7060)

06/07/2005

$0.00

KIMBERLY SMART

ALLEGED THAT 4 STALLIONS WERE SHIPPED CO-MINGLED WITH OTHER SLAUGHTER HORSES.

07/27/2004

CONSENT DECISION

08/14/2009

R.G. SMITH

ALLEGED THAT STEALLIONS WERE NOT COMPLETELY SEGREGATED FROM OTHER HORSES EN ROUTE TO SLAUGHTER; VS FORM 10-13 WAS NOT COMPLETED (PROPERLY EITHER).

07/27/2004

CONSENT DECISION

08/14/2009

JEFF SMITH

ALLEGED THAT STALLIONS WERE NOT COMPLETELY SEGREGATED FROM OTHER HORSES EN ROUTE TO SLAUGHTER; VS FORM 10-13 WAS NOT COMPLETED (PROPERLY EITHER).

07/27/2004

CONSENT DECISION

08/14/2009

JEFF SMITH

ALLEGED THAT STALLIONS WERE NOT COMPLETELY SEGREGATED FROM OTHER HORSES EN ROUTE TO SLAUGHTER; VS FORM 10-13 WAS NOT COMPLETED (PROPERLY EITHER).

07/27/2004

CONSENT DECISION

08/14/2009

RANDY SMITH

ALLEGED VIOLATION OF THE COMMERCIAL HORSE TRANSPORT REGULATIONS, BLIND HORSE.

07/26/2004

WARNING (7060)

04/12/2006

$250.00

BRIAN JONES

DEATH OF TWO HORSES IN COMMERCIAL TRANSPORTATION FOR SLAUGHTER.

08/18/2004

DECISION AND ORDER

12/15/2008

$162,000.00

LEROY H. JR. BAKER

DEATH OF TWO HORSES IN COMMERCIAL TRANSPORTATION FOR SLAUGHTER.

08/18/2004

DECISION AND ORDER

12/15/2008

$0.00

SUGAR CREEK LIVESTOCK AUCTION I

DEATH OF TWO HORSES IN COMMERCIAL TRANSPORTATION FOR SLAUGHTER.

08/18/2004

WARNING (7060)

12/15/2008

$0.00

JAMES GABRIEL

DEATH OF ONE HORSE DURING COMMERCIAL TRANSPORTATION FOR SLAUGHTER.

08/18/2004

CONSENT DECISION

07/09/2009

$1,500.00

RYON SIMON

DEATH OF ONE HORSE DURING COMMERCIAL TRANSPORTATION FOR SLAUGHTER.

08/18/2004

WARNING (7060)

06/15/2005

LIVESTOCK EXPRESS INC.

DEATH OF ONE HORSE DURING COMMERCIAL TRANSPORTATION FOR SLAUGHTER.

08/18/2004

WARNING (7060)

06/15/2005

$0.00

MARK ENGELWARTZ

VIOLATION OF 20 HOUR RULE RESULTING IN DEATH OF LIVESTOCK.

08/16/2004

LETTER OF INFORMAL VIOLATION (7060)

04/24/2006

$0.00

STEVEN LIVESTOCK TRANSPORT LTD

VIOLATION OF 20 HOUR RULE RESULTING IN DEATH OF LIVESTOCK.

08/16/2004

WARNING (7060)

04/24/2006

$0.00

GUILLIEN BARANYAN

ALLEGED COMMERCIAL SHIPMENT OF HORSES TO SLAUGHTER; ONE HORSE COULD NOT WALK ON ALL FOUR LEGS, ONE STUB SHIPPED NOT SEPARATED.

10/28/2004

DENIED / DECLINED

07/10/2009

$0.00

DARRYL BEVERLY

ALLEGED COMMERCIAL SHIPMENT OF HORSES TO SLAUGHTER; ONE HORSE COULD NOT WALK ON ALL FOUR LEGS, ONE STUB SHIPPED NOT SEPARATED.

10/28/2004

WARNING (7060)

04/22/2005

$0.00

THOMAS BARGE

INCOMPLETE OWNERSHIP CERTIFICATES STALLIONS NOT SEGREGATED.

10/28/2004

DECISION AND ORDER

09/13/2009

$0.00

JOE SIMON

FAILURE TO PROPERLY COMPLETE OWNERSHIP/SHIPPER SHRTS.

10/28/2004

CONSENT DECISION

06/15/2006

$0.00

JOHN MALOUFF

VS FORM 10-13 NOT COMPLETED PROPERLY; STALLIONS LOADED NOT SEGREGATED FROM OTHER HORSES, INJURY TO 1 HORSE.

11/02/2004

CONSENT DECISION

06/14/2009

$0.00

RANDY SMITH

VS FORM 10-13 NOT COMPLETED PROPERLY; STALLIONS LOADED NOT SEGREGATED FROM OTHER HORSES, INJURY TO 1 HORSE.

11/02/2004

DECISION AND ORDER

08/14/2009

$0.00

JEFF SMITH
<table>
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<tr>
<th>Case Number</th>
<th>Status</th>
<th>Description</th>
<th>Decision</th>
<th>Date</th>
<th>Amount</th>
<th>Responsible Party</th>
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<tr>
<td>TH0533</td>
<td>VS SQUIRE TO SLAUGHTER</td>
<td>INCOMPLETE VS FORMS 10-13 OVER MULTIPLE DATES. DEAD HORSE ARRIVED AT SLAUGHTER ON ONE DATE.</td>
<td>DECISION AND ORDER</td>
<td>08/14/2009</td>
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<td>TH0537</td>
<td>VS SQUIRE TO SLAUGHTER</td>
<td>BLIND HORSE TRANSPORTED TO SLAUGHTER, HAD ADDITIONAL TRAUMA TO HEAD.</td>
<td>DEFAULT DECISION</td>
<td>07/29/2009</td>
<td>$0.00</td>
<td>JAY RIEHRT</td>
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<td>TH0540</td>
<td>VS SQUIRE TO SLAUGHTER</td>
<td>INCOMPLETE VS 10-13, DEAD HORSE, HORSE WITH BROKEN LEG.</td>
<td>DECISION AND ORDER</td>
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<td>TH0549</td>
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<td>ALLEGED VIOLATIONS OF THE SHT REGULATIONS, INCOMPLETE VS FORM 10-13.</td>
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TH0595050 VS EQUINE SAUGHTER 88 DO NOT COMPLETE AND SIGN AN OWNER/SHIPPER CERTIFICATE FOR EQUINE BEING SHIPPED TO SAUGHTER 12/18/2004 COMPLAINT DISMISSED 05/27/2009 $0.00 JAMES GADBERRY

TH0595050 VS EQUINE SAUGHTER 88 DO NOT COMPLETE AND SIGN AN OWNER/SHIPPER CERTIFICATE FOR EQUINE BEING SHIPPED TO SAUGHTER 12/18/2004 COMPLAINT DISMISSED 05/27/2009 $0.00 LARRY L ANDERSON

TH0595050 VS EQUINE SAUGHTER 88 DO NOT COMPLETE AND SIGN AN OWNER/SHIPPER CERTIFICATE FOR EQUINE BEING SHIPPED TO SAUGHTER 12/20/2004 DECISION AND ORDER 12/15/2008 $0.00 LEROY BAKER

TH0595050 VS EQUINE SAUGHTER 88 DO NOT COMPLETE AND SIGN AN OWNER/SHIPPER CERTIFICATE FOR EQUINE BEING SHIPPED TO SAUGHTER 12/18/2004 DECISION AND ORDER 12/15/2008 $0.00 SUGARREEK LIVESTOCK AUCTION

TH0595052 VS NONE 88 INCOMPLETE VS FORM 10-13, THREE LEGGED HORSE. 12/13/2004 STIPULATION 06/21/2008 $250.00 FRANK CARPER

TH0595069 VS NONE 88 DOUBLE BLOOD HORSE SENT TO SAUGHTER IN VIOLATION OF 9CFR, PART 86.4 01/12/2005 CONSENT DECISION 05/15/2006 $0.00 JOHN MALDOUFF

TH0595069 VS NONE 88 DOUBLE BLOOD HORSE SENT TO SAUGHTER IN VIOLATION OF 9CFR, PART 86.4 01/12/2005 NO VIOLATION 08/13/2005 $0.00 ALEX ABEYTA

TH0595069 VS NONE 88 DOUBLE BLOOD HORSE SENT TO SAUGHTER IN VIOLATION OF 9CFR, PART 86.4 01/12/2005 NO VIOLATION 08/13/2005 $0.00 ALEX ABEYTA

TH0595071 VS EQUINE SAUGHTER 88 A DESCRIPTION OF THE CONVEYANCE EXCLUDING THE LICENSE PLATE NUMBER 01/12/2005 NO VIOLATION 03/07/2006 $0.00 4-H RANCH

TH0595071 VS EQUINE SAUGHTER 88 A DESCRIPTION OF THE CONVEYANCE EXCLUDING THE LICENSE PLATE NUMBER 01/12/2005 NO VIOLATION 03/07/2006 $0.00 GREGORY ROY

TH0595071 VS EQUINE SAUGHTER 88 A DESCRIPTION OF THE CONVEYANCE EXCLUDING THE LICENSE PLATE NUMBER 01/12/2005 NO VIOLATION 03/07/2006 $0.00 RYAN TIMOTHY

TH0595071 VS EQUINE SAUGHTER 88 A DESCRIPTION OF THE CONVEYANCE EXCLUDING THE LICENSE PLATE NUMBER 01/12/2005 WARNING (7066) 03/07/2006 $0.00 DAVID CARPER

TH0595082 VS EQUINE SAUGHTER 88 INCOMPLETE VS FORM 10-13 AND HORSES ARIVED DEAD 02/14/2005 COMPLAINT DISMISSED 05/27/2009 $0.00 JAMES GADBERRY

TH0595082 VS EQUINE SAUGHTER 88 INCOMPLETE VS FORM 10-13 AND HORSES ARIVED DEAD 02/14/2005 COMPLAINT DISMISSED 05/27/2009 $0.00 LARRY L ANDERSON

TH0595082 VS EQUINE SAUGHTER 88 INCOMPLETE VS FORM 10-13 AND HORSES ARIVED DEAD 02/14/2005 DECISION AND ORDER 12/15/2008 $0.00 LEROY H. BAER, JR

TH0595096 VS NONE 88 INCOMPLETE VS FORM 10-13 ACCOMPANIED HORSES TO SAUGHTER 02/15/2005 DECLINED TO PURSUE 02/22/2008 $0.00 BIG R HORSE COMPANY

TH0595098 VS EQUINE SAUGHTER 88 INCOMPLETE OWNERSHIP SHIPPER CERTIFICATE ACCOMPANIED HORSES TO SAUGHTER 02/16/2005 WARNING (7066) 05/08/2007 $0.00 JOHN W. WHITE

TH0595101 VS EQUINE SAUGHTER 88 MOVEMENT OF STUDS TO SAUGHTER WITHOUT SEISGATION ON TRANSPORT VEHICLE, STUDS NOT MARKED AS STUDS ON THE OWNERSHIP/SHIPPER CERTIFICATE. 03/11/2005 CONSENT DECISION 05/15/2006 $0.00 JOHN R. MALDOUFF, JR

TH0595101 VS EQUINE SAUGHTER 88 MOVEMENT OF STUDS TO SAUGHTER WITHOUT SEISGATION ON TRANSPORT VEHICLE, STUDS NOT MARKED AS STUDS ON THE OWNERSHIP/SHIPPER CERTIFICATE. 03/11/2005 NO VIOLATION 08/15/2005 $0.00 ALEX FRANK ABEYTA

TH0595102 VS EQUINE SAUGHTER 88

TH0595102 VS EQUINE SAUGHTER 88

TH0595102 VS EQUINE SAUGHTER 88

TH0595102 VS EQUINE SAUGHTER 88

TH0595102 VS EQUINE SAUGHTER 88

TH0595109 VS EQUINE SAUGHTER 88 HORSES TO SAUGHTER 04/24/2005 WARNING (7066) 10/12/2006 $0.00 DAVE QUEIN
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<td>T00129</td>
<td>VS EQUINE SUMPTER</td>
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<td>INCOMPLETE INFORMATION ON VS 10-13 FORM</td>
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<td>FAILURE TO INDIQUE HORSE'S BACKUP NUMBERS ON VS FORM 10-13. FAILURE TO SHOW VEHICLE LICENSE NUMBER, POSSIBLE BLIND HORSE ON SHIPMENT.</td>
<td>05/04/2005</td>
<td>STIPULATION 11/27/2007</td>
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<td>T00145</td>
<td>VS EQUINE SUMPTER</td>
<td>B.B.</td>
<td>HORSE HAD CUT OVER RIGHT EYE AND INCOMPLETE TRANSPORT PAPERWORK.</td>
<td>07/04/2005</td>
<td>CONSENT DECISION 07/09/2009</td>
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<td>B.B.</td>
<td>HARE FOILED 2.5 DAYS AFTER ARRIVING AT SLAUGHTER PLANT AND TRANSPORT PAPERWORK WAS INCOMPLETE.</td>
<td>05/15/2005</td>
<td>WARNING (7060) 04/21/2006</td>
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<td>VS FORM 10-13 VIOLATIONS AND A DOUBLE BLIND HORSE COMMERCIAL TRANSPORTED TO SLAUGHTER.</td>
<td>05/15/2005</td>
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<td>VS FORM 10-13 VIOLATIONS AND A DOUBLE BLIND HORSE COMMERCIAL TRANSPORTED TO SLAUGHTER.</td>
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<td>HORSE INSURED ON TRUCK WHILE TRANSPORTED TO SLAUGHTER.</td>
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<td>HORSE INSURED ON TRUCK WHILE TRANSPORTED TO SLAUGHTER.</td>
<td>06/20/2005</td>
<td>WARNING (7060) 06/20/2007</td>
<td>$0.00</td>
<td>JEFFREY BEHRENS</td>
</tr>
<tr>
<td>T00152</td>
<td>VS EQUINE SUMPTER</td>
<td>B.B.</td>
<td>HORSE INSURED ON TRUCK WHILE TRANSPORTED TO SLAUGHTER.</td>
<td>06/20/2005</td>
<td>WARNING (7060) 06/20/2007</td>
<td>$0.00</td>
<td>JOSHUA JOHN STARK</td>
</tr>
<tr>
<td>T00153</td>
<td>VS EQUINE SUMPTER</td>
<td>B.B.</td>
<td>HANDLING OF A STALLION IN COMMERCIAL TRANSPORTATION TO A SLAUGHTER FACILITY CAUSING PHYSICAL HARM.</td>
<td>05/20/2005</td>
<td>STIPULATION 09/08/2008</td>
<td>$1,000.00</td>
<td>MUSICK LIVESTOCK</td>
</tr>
<tr>
<td>T00156</td>
<td>VS EQUINE SUMPTER</td>
<td>B.B.</td>
<td>BLIND HORSE SHIPPED TO SLAUGHTER, UNKNOW WHETHER SHIPPED BY A COMMERCIAL SHIPPER.</td>
<td>05/23/2005</td>
<td>DECISION AND ORDER 04/20/2008</td>
<td>$10,500.00</td>
<td>MITCH STANLEY</td>
</tr>
<tr>
<td>T00156</td>
<td>VS EQUINE SUMPTER</td>
<td>B.B.</td>
<td>BLIND HORSE SHIPPED TO SLAUGHTER, UNKNOW WHETHER SHIPPED BY A COMMERCIAL SHIPPER.</td>
<td>05/23/2005</td>
<td>WARNING (7060) 01/17/2006</td>
<td>$0.00</td>
<td>ROBERT ESTELLE</td>
</tr>
<tr>
<td>T00160</td>
<td>VS EQUINE SUMPTER</td>
<td>B.B.</td>
<td>FAILURE TO PROPERLY COMPLETE VS FORM 10-13.</td>
<td>05/24/2005</td>
<td>DECISION AND ORDER 05/04/2006</td>
<td>$0.00</td>
<td>TRENT WARD</td>
</tr>
<tr>
<td>T00161</td>
<td>VS EQUINE SUMPTER</td>
<td>B.B.</td>
<td>FAILURE TO PROPERLY COMPLETE VS FORM 10-13.</td>
<td>05/24/2005</td>
<td>DECISION AND ORDER 12/28/2006</td>
<td>$0.00</td>
<td>BILL RICHARDSON</td>
</tr>
<tr>
<td>T00166</td>
<td>VS EQUINE SUMPTER</td>
<td>B.B.</td>
<td>INJURED HORSE DURING TRANSPORT TO SLAUGHTER AND INCOMPLETE FORMS.</td>
<td>05/26/2005</td>
<td>DECISION AND ORDER 08/05/2009</td>
<td>$0.00</td>
<td>ROY JOSEPH SIMON</td>
</tr>
<tr>
<td>T00166</td>
<td>VS EQUINE SUMPTER</td>
<td>B.B.</td>
<td>INJURED HORSE DURING TRANSPORT TO SLAUGHTER AND INCOMPLETE FORMS.</td>
<td>05/26/2005</td>
<td>DECLINED TO PURSUE 08/25/2010</td>
<td>$0.00</td>
<td>MARK BECKER</td>
</tr>
<tr>
<td>T00166</td>
<td>VS EQUINE SUMPTER</td>
<td>B.B.</td>
<td>INJURED HORSE DURING TRANSPORT TO SLAUGHTER AND INCOMPLETE FORMS.</td>
<td>05/26/2005</td>
<td>WARNING (7060) 09/15/2005</td>
<td>$0.00</td>
<td>ROBERT &quot;BOB&quot; HAVERSON</td>
</tr>
<tr>
<td>T00170</td>
<td>VS EQUINE SUMPTER</td>
<td>B.B.</td>
<td>INJURED HORSE SHIPPED TO SLAUGHTER, VARIOUS INCOMPLETE VS FORM 10-13.</td>
<td>05/26/2005</td>
<td>COMPLAINT DISMISSED 05/27/2009</td>
<td>$0.00</td>
<td>LARRY L. ANDERSON</td>
</tr>
<tr>
<td>T00170</td>
<td>VS EQUINE SUMPTER</td>
<td>B.B.</td>
<td>INJURED HORSE SHIPPED TO SLAUGHTER, VARIOUS INCOMPLETE VS FORM 10-13.</td>
<td>06/06/2006</td>
<td>COMPLAINT DISMISSED 05/27/2009</td>
<td>$0.00</td>
<td>LARRY L. ANDERSON</td>
</tr>
<tr>
<td>T00170</td>
<td>VS EQUINE SUMPTER</td>
<td>B.B.</td>
<td>INJURED HORSE SHIPPED TO SLAUGHTER, VARIOUS INCOMPLETE VS FORM 10-13.</td>
<td>06/06/2006</td>
<td>DECISION AND ORDER 12/15/2008</td>
<td>$0.00</td>
<td>LEROY M. BAKER, JR</td>
</tr>
<tr>
<td>T00170</td>
<td>VS EQUINE SUMPTER</td>
<td>B.B.</td>
<td>INJURED HORSE SHIPPED TO SLAUGHTER, VARIOUS INCOMPLETE VS FORM 10-13.</td>
<td>06/06/2006</td>
<td>WARNING (7060) 02/16/2006</td>
<td>$0.00</td>
<td>MICHAEL ROBERT SHANNON</td>
</tr>
<tr>
<td>T00170</td>
<td>VS EQUINE SUMPTER</td>
<td>B.B.</td>
<td>INJURED HORSE SHIPPED TO SLAUGHTER, VARIOUS INCOMPLETE VS FORM 10-13.</td>
<td>06/06/2006</td>
<td>WARNING (7060) 02/16/2006</td>
<td>$0.00</td>
<td>MICHAEL ROBERT SHANNON</td>
</tr>
</tbody>
</table>
INJURED HORSE SHIPPED TO SLAUGHTER, VARIOUS INCOMPLETE VS FORM 10-13-15
06/08/2005 WARNING (7066) 02/16/2006 $0.00 TODD MCDONALD

INJURED HORSE SHIPPED TO SLAUGHTER, VARIOUS INCOMPLETE VS FORM 10-13-15
06/08/2005 WARNING (7066) 02/16/2006 $0.00 TROY MILLER

INJURED HORSE SHIPPED TO SLAUGHTER, VARIOUS INCOMPLETE VS FORM 10-13-15
06/08/2005 WARNING (7066) 02/16/2006 $0.00 TROY MILLER

MOVEMENT OF A SLAUGHTER HORSE THAT COULD NOT BEAR WEIGHT ON ALL FOUR LEGS. STUDIES IN THE LOAD
06/20/2005 CONSENT DECISION 01/11/2008 $0.00 ANTON WALD

MOVEMENT OF A SLAUGHTER HORSE THAT COULD NOT BEAR WEIGHT ON ALL FOUR LEGS. STUDIES IN THE LOAD
06/20/2005 CONSENT DECISION 01/12/2008 $0.00 ANTON WALD

MOVEMENT OF A SLAUGHTER HORSE THAT COULD NOT BEAR WEIGHT ON ALL FOUR LEGS. STUDIES IN THE LOAD
06/20/2005 CONSENT DECISION 01/11/2008 $0.00 JOHN WALD

MOVEMENT OF A SLAUGHTER HORSE THAT COULD NOT BEAR WEIGHT ON ALL FOUR LEGS. STUDIES IN THE LOAD
06/20/2005 CONSENT DECISION 01/11/2008 $0.00 JOHN WALD

MOVEMENT OF A SLAUGHTER HORSE THAT COULD NOT BEAR WEIGHT ON ALL FOUR LEGS. STUDIES IN THE LOAD
06/20/2005 CONSENT DECISION 12/22/2005 $1,100.00 KARL BEATTY

MOVEMENT OF A SLAUGHTER HORSE THAT COULD NOT BEAR WEIGHT ON ALL FOUR LEGS. STUDIES IN THE LOAD
06/20/2005 WARNING (7066) 12/06/2005 $0.00 JAKE P. DESORMEAUX

MOVEMENT OF A SLAUGHTER HORSE THAT COULD NOT BEAR WEIGHT ON ALL FOUR LEGS. STUDIES IN THE LOAD
06/20/2005 WARNING (7066) 12/06/2005 $0.00 JAKE P. DESORMEAUX

SLAUGHTER HORSE TRANSPORT- 8 STALLIONS ON SHIPMENT WITHOUT BEING SEGREGATED OR SEPARATED FROM OTHER HORSES. VS FORM 10-13 NOT COMPLETED CORRECTLY.
07/27/2005 DECISION AND ORDER 08/05/2009 JOE SIMON

SLAUGHTER HORSE TRANSPORT- 8 STALLIONS ON SHIPMENT WITHOUT BEING SEGREGATED OR SEPARATED FROM OTHER HORSES. VS FORM 10-13 NOT COMPLETED CORRECTLY.
07/27/2005 WARNING (7066) 09/13/2005 BOB HAVERTON TRUCK!

SLAUGHTER HORSE TRANSPORT- 8 STALLIONS ON SHIPMENT WITHOUT BEING SEGREGATED OR SEPARATED FROM OTHER HORSES. VS FORM 10-13 NOT COMPLETED CORRECTLY.
07/27/2005 WARNING (7066) 09/13/2005 DENNIS JOHNSON

SLAUGHTER HORSE TRANSPORT-ONE HORSE ARRIVED FOR SLAUGHTER UNABLE TO BEAR WEIGHT ON ALL FOUR LEGS.
07/27/2005 NO VIOLATION 08/31/2006 $0.00 BELTEX CORPORATION

SLAUGHTER HORSE TRANSPORT-ONE HORSE ARRIVED FOR SLAUGHTER UNABLE TO BEAR WEIGHT ON ALL FOUR LEGS.
07/27/2005 NO VIOLATION 08/31/2006 $0.00 DAVE QUINN

FAILURE TO INDECTE SLAUGHTER HORSES BREED, TYPE, SEX, AND COLOR, OR VS FORM 10-13-13.
07/27/2005 DECISION AND ORDER 08/14/2009 $0.00 HOWARD OBERHOLT

SLAUGHTER HORSE TRANSPORT- VS FORM 10-13 NOT COMPLETED (DRIVERS NAME MISSING), AND STALLIONS ON SHIPMENT. ONE HORSE ARRIVED UNABLE TO BEAR WEIGHT ON ALL FOUR LEGS.
07/27/2005 CONSENT DECISION 12/06/2005 $0.00 JOHN WALD

SLAUGHTER HORSE TRANSPORT- VS FORM 10-13 NOT COMPLETED (DRIVERS NAME MISSING), AND STALLIONS ON SHIPMENT. ONE HORSE ARRIVED UNABLE TO BEAR WEIGHT ON ALL FOUR LEGS.
07/27/2005 CONSENT DECISION 02/11/2008 $0.00 ANTON WALD

SLAUGHTER HORSE TRANSPORT- VS FORM 10-13 NOT COMPLETED (DRIVERS NAME MISSING), AND STALLIONS ON SHIPMENT. ONE HORSE ARRIVED UNABLE TO BEAR WEIGHT ON ALL FOUR LEGS.
07/27/2005 CONSENT DECISION 02/11/2008 $0.00 WALD LIVESTOCK
T905215 VS EQUINE TO SAUGERIAT
SLAUGHTER HORSE TRANSPORT: VS FORM 10-13 NOT COMPLETED (DRIVERS NAME MISSING), AND STALLIONS ON SHIPMENT. ONE HORSE ARRIVED UNABLE TO BEAR WEIGHT ON ALL FOUR LEGS. 07/27/2005 WARNING (7056) 12/06/2005 $0.00 JAKE P. DESORMEAUX

T905228 VS EQUINE TO SAUGERIAT 88 COMMERCIAL TRANSPORTATION OF EQUINES FOR SLAUGHTER, FAILING TO COMPLETE THE CERTIFICATES AND CAUSING INJURY TO HORSES IN THE SHIPMENTS. 08/20/2005 STIPULATION 08/11/2006 $1,550.00 LESLIE RAY

T905235 VS NONE 1001 FALSED EIA TEST RECORDS 08/14/2005 INSUFFICIENT EVIDENCE 01/04/2006 $0.00 MARGARET LOUISE KENNEDY

T905236 VS EQUINE TO SAUGERIAT 88 VS FORM 10-13 NOT COMPLETED CORRECTLY ON 03/05/05, TO INCLUDE SHIPPERS NAME, ADDRESS, AND PHONE NUMBER. ON 07/18/05, A HORSE ARRIVED UNABLE TO BEAR WEIGHT ON ALL FOUR LEGS, AND THE VS FORM 10-13 WAS NOT COMPLETED CORRECTLY. 08/15/2005 DECISION AND ORDER 12/12/2005 $0.00 LEROY BAKER

T905236 VS EQUINE TO SAUGERIAT 88 VS FORM 10-13 NOT COMPLETED CORRECTLY ON 03/05/05, TO INCLUDE SHIPPERS NAME, ADDRESS, AND PHONE NUMBER. ON 07/18/05, A HORSE ARRIVED UNABLE TO BEAR WEIGHT ON ALL FOUR LEGS, AND THE VS FORM 10-13 WAS NOT COMPLETED CORRECTLY. 08/15/2005 DECISION AND ORDER 12/12/2005 $0.00 LEROY BAKER

T905236 VS EQUINE TO SAUGERIAT VS FORM 10-13 NOT COMPLETED CORRECTLY ON 03/05/05, TO INCLUDE SHIPPERS NAME, ADDRESS, AND PHONE NUMBER. ON 07/18/05, A HORSE ARRIVED UNABLE TO BEAR WEIGHT ON ALL FOUR LEGS, AND THE VS FORM 10-13 WAS NOT COMPLETED CORRECTLY. 08/15/2005 DECISION AND ORDER 12/12/2005 $0.00 SUGARCREK LIVESTOCK

T905236 VS EQUINE TO SAUGERIAT VS FORM 10-13 NOT COMPLETED CORRECTLY ON 03/05/05, TO INCLUDE SHIPPERS NAME, ADDRESS, AND PHONE NUMBER. ON 07/18/05, A HORSE ARRIVED UNABLE TO BEAR WEIGHT ON ALL FOUR LEGS, AND THE VS FORM 10-13 WAS NOT COMPLETED CORRECTLY. 08/15/2005 DECISION AND ORDER 12/12/2005 $0.00 TOCIO MCDONALD

T905238 VS EQUINE TO SAUGERIAT 88 DEAD EQUINE ON CONVEYANCE TO SLAUGHTER FACILITY. STALLIONS NOT SEPARATED FROM OTHER EQUINES. 09/12/2005 STIPULATION 09/08/2006 $0.00 MUSICK LIVESTOCK LLC

T905267 VS NONE 88,4 ONE HORSE ARRIVED AT BELTEX FOR SLAUGHTER DEAD WITH A BROKEN LEG. MULTIPLE INCOMPLETE VS FORM 10-13 VIOLATIONS. 09/13/2005 DECISION AND ORDER 09/05/2009 JOE SIMON

T905267 VS NONE 88,4 ONE HORSE ARRIVED AT BELTEX FOR SLAUGHTER DEAD WITH A BROKEN LEG. MULTIPLE INCOMPLETE VS FORM 10-13 VIOLATIONS. 09/13/2005 WARNING (7056) 09/15/2005 BOB HAVERTSON

T905268 VS NONE 88 FAILURE TO ABIDE BY PERMIT RESTRICTIONS REGARDING THE IMPORTATION OF CATTLE EMIRYOS FROM MEXICO. 09/13/2005 STIPULATION 11/09/2007 $625.00 HEARTBRAND CATTLE COMPANY

T906003 VS EQUINE TO SAUGERIAT ONE HORSE TRANSPORTED TO SLAUGHTER ALLEGEDLY UNABLE TO BEAR WEIGHT ON ALL FOUR LIMBS. FAILURE TO ENTER PREEXISTING INJURY ON VS FORM 10-13. 10/03/2005 DEFAULT DECISION 11/10/2009 ROBERT ESTELLE

T906003 VS EQUINE TO SAUGERIAT ONE HORSE TRANSPORTED TO SLAUGHTER ALLEGEDLY UNABLE TO BEAR WEIGHT ON ALL FOUR LIMBS. FAILURE TO ENTER PREEXISTING INJURY ON VS FORM 10-13. 10/03/2005 DEFAULT DECISION 11/10/2009 $5,200.00 STANLEY

T906003 VS EQUINE TO SAUGERIAT ONE HORSE TRANSPORTED TO SLAUGHTER ALLEGEDLY UNABLE TO BEAR WEIGHT ON ALL FOUR LIMBS. FAILURE TO ENTER PREEXISTING INJURY ON VS FORM 10-13. 10/03/2005 WARNING (7056) 09/09/2006 $0.00 RON BERRYMAN

T906010 VS EQUINE TO SAUGERIAT 88 ONE SLAUGHTER HORSE INJURED DURING COMMERCIAL TRANSPORT. 10/25/2005 CONSENT DECISION 07/11/2007 $1,550.00 MONTIE CLARK

T906010 VS EQUINE TO SAUGERIAT ONE SLAUGHTER HORSE INJURED DURING COMMERCIAL TRANSPORT. 10/25/2005 NO VIOLATION 07/11/2007 $0.00 SYMPHON RANCH FEEDYARD

T906010 VS EQUINE TO SAUGERIAT ONE SLAUGHTER HORSE INJURED DURING COMMERCIAL TRANSPORT. 10/25/2005 NO VIOLATION 07/14/2007 $0.00 ROBERT L. FORCE, JR.

T906011 VS EQUINE TO SAUGERIAT 88 STALLIONS NOT SEGREGATED IN A SHIPMENT OF 48 HORSES. INJURED HORSE IN SHIPMENT; OWNER/SHIPPER DID NOT MEET WITH INSPECTION PERSONNEL. 10/25/2005 DECISION AND ORDER 08/05/2009 $0.00 ROY JOSEPH SIMON

T906011 VS EQUINE TO SAUGERIAT 88 STALLIONS NOT SEGREGATED IN A SHIPMENT OF 48 HORSES. INJURED HORSE IN SHIPMENT; OWNER/SHIPPER DID NOT MEET WITH INSPECTION PERSONNEL. 10/25/2005 WARNING (7056) 09/12/2005 $0.00 BOB HAVERTSON
STALLIONS NOT SEGREGATED ON A SHIPMENT OF 48 HORSES; INJURED HORSE IN SHIPMENT; OWNERSHIP/SHIPPER DID NOT MEET WITH INSPECTION PERSONNEL.

10/05/2005 WARNING (7060) 09/12/2005 $0.00 DENNIS JOHNSON

DEAD EQUINE ON CONVEYANCE TO SLAUGHTER FACILITY.

11/03/2005 DECISION AND ORDER 12/15/2008 $0.00 LEROY BAKER

DEAD EQUINE ON CONVEYANCE TO SLAUGHTER FACILITY.

11/03/2005 WARNING (7060) 02/16/2008 $0.00 SHAWN SNYDER

COMMERCIAL MOVEMENT OF SLAUGHTER HORSES FROM SULFUR SPRINGS TO FORT WORTH, TEXAS WITHOUT A FULLY COMPLETE VS FORM 10-13.

12/06/2005 WARNING (7060) 05/04/2006 $0.00 DAVID SCOTT WOHN

COMMERCIAL MOVEMENT OF SLAUGHTER HORSES FROM SULFUR SPRINGS TO FORT WORTH, TEXAS WITHOUT A FULLY COMPLETE VS FORM 10-13.

12/06/2005 WARNING (7060) 05/04/2006 $0.00 JACKY RAE KNOWLES

SHIPPING A CREPULLE HORSE TO SLAUGHTER.

12/06/2005 STIPULATION 10/03/2006 $1,250.00 JUSTIN HARLESS

SHIPPING A CREPULLE HORSE TO SLAUGHTER.

12/06/2005 STIPULATION 10/03/2006 $1,250.00 T SMITH LIVESTOCK

SHIPPING A CREPULLE HORSE TO SLAUGHTER AND NOT COMPLETING THE VS FORM 10-13.

12/06/2005 CONSENT DECISION 07/15/2011 $24,600.00 JOE SIMON

SHIPPING A CREPULLE HORSE TO SLAUGHTER AND NOT COMPLETING THE VS FORM 10-13.

12/06/2005 WARNING (7060) 08/28/2010 $0.00 BOB HAVENSON

SHIPPING A CREPULLE HORSE TO SLAUGHTER AND NOT COMPLETING THE VS FORM 10-13.

12/06/2005 WARNING (7060) 08/28/2010 $0.00 DAVE HARTWIG

SHIPPING A CREPULLE HORSE TO SLAUGHTER AND NOT COMPLETING THE VS FORM 10-13.

12/06/2005 WARNING (7060) 08/28/2010 $0.00 DENNIS JOHNSON

SHIPPING A CREPULLE HORSE TO SLAUGHTER AND NOT COMPLETING THE VS FORM 10-13.

12/06/2005 WARNING (7060) 08/28/2010 $0.00 JACK MENGEL

SHIPPING A CREPULLE HORSE TO SLAUGHTER AND NOT COMPLETING THE VS FORM 10-13.

12/06/2005 WARNING (7060) 08/28/2010 $0.00 JOHN CHARLES REILLY

SHIPPING A CREPULLE HORSE TO SLAUGHTER AND NOT COMPLETING THE VS FORM 10-13.

12/06/2005 WARNING (7060) 08/28/2010 $0.00 PAUL RYAN REILAND

INCOMPLETE OWNER SHIPPER CERTIFICATE ON SLAUGHTER HORSES.

12/07/2005 WARNING (7060) 08/04/2010 $3,125.00 JAMES BLAKE MCDANIEL

DEAD HORSES IN CONSIGNMENT, DOWN HORSES, INJURED HORSES; SLAUGHTER CONSIGNMENT.

12/07/2005 STIPULATION 05/20/2010 $2,760.00 DENNIS CHAVES

DEAD HORSES IN CONSIGNMENT, DOWN HORSES, INJURED HORSES; SLAUGHTER CONSIGNMENT.

12/07/2005 WARNING (7060) 06/14/2006 $0.00 LONNIE RAY STEVENSON

SLAUGHTER HORSE BECAME NON AMBULATORY ON ROUTE TO SLAUGHTER, APHIS REPRESENTATIVE NOR VETERINARIAN WAS CONTACTED. HORSE WAS OPILED PRIOR TO REACHING SLAUGHTER ESTABLISHMENT.

02/23/2006 STIPULATION 04/26/2007 $1,250.00 J.D. HANKSON

SLAUGHTER HORSE BECAME NON AMBULATORY ON ROUTE TO SLAUGHTER, APHIS REPRESENTATIVE NOR VETERINARIAN WAS CONTACTED. HORSE WAS OPILED PRIOR TO REACHING SLAUGHTER ESTABLISHMENT.

02/23/2006 STIPULATION 05/17/2007 $1,250.00 DARRELL JEFFREYS

FAILURE TO PROPER COMPLETE VS FORM 10-13.

03/15/2006 STIPULATION 05/31/2006 $100.00 KEMP

DEAD HORSES ON CONVEYANCE TO SLAUGHTER, NON-SEGREGATED STALLIONS ON CONVEYANCE TO SLAUGHTER, VS 10-13 INCOMPLETE.

03/19/2006 DECISION AND ORDER 12/15/2008 $0.00 LEROY BAKER
03/19/2006  WARNING (7060)  02/14/2008  $0.00  JEFF MONG

DEAD HORSES ON CONVEYANCE TO SLAUGHTER. NON SEGREGATED STALLIONS ON CONVEYANCE TO SLAUGHTER. VS 10-13 INCOMPLETE.

03/19/2006  WARNING (7060)  08/27/2008  $0.00  TED COSTELLO

DEAD HORSES ON CONVEYANCE TO SLAUGHTER. NON SEGREGATED STALLIONS ON CONVEYANCE TO SLAUGHTER. VS 10-13 INCOMPLETE.

04/21/2006  WARNING (7060)  12/08/2006  $0.00  4 R RANCH

SLAUGHTER HORSE TRANSPORT INVESTIGATION. PALE SHIPPED TO SLAUGHTER WITH OLD INJURY, POSSIBLE VIOLATION OF 26 HOUR LAW.

04/11/2006  WARNING (7060)  11/08/2006  $0.00  CHARLES MARTIN

SLAUGHTER HORSE TRANSPORT INVESTIGATION. PALE SHIPPED TO SLAUGHTER WITH OLD INJURY, POSSIBLE VIOLATION OF 26 HOUR LAW.

04/17/2006  STIPULATION  06/21/2007  $500.00  COLE LAMMEN

PORKED VS 10-11 IN ATTEMPT TO MOVE ANIMAL INTERSTATE W/ HEALTH CERTIFIEG USE THE FORCED 10-11

04/06/2006  DEFAULT DECISION  07/29/2009  $0.00  JACK REINERT

SLAUGHTER HORSES MOVED TO DALLAS CROWN WITH INCOMPLETE VS FORM 10-13 LISTING THE REQUIRED INFORMATION AND THE SHIPPER FAILED TO MEET THE INSPECTOR.

04/26/2006  WARNING (7060)  12/13/2006  $0.00  GUINDESON TRUCKING

SLAUGHTER HORSES MOVED TO DALLAS CROWN WITH INCOMPLETE VS FORM 10-13 LISTING THE REQUIRED INFORMATION AND THE SHIPPER FAILED TO MEET THE INSPECTOR.

04/26/2006  WARNING (7060)  12/13/2006  $0.00  GUINDESON TRUCKING

SLAUGHTER HORSES SHIPPED TO DALLAS CROWN, INC. WITH AN INCOMPLETE VS FORM 10-13. FAILED TO MEET WITH INSPECTOR OR RETURN TO MEET WITH INSPECTOR.

04/26/2006  STIPULATION  06/26/2006  $757.00  CHARLES NEALEN

SLAUGHTER HORSES SHIPPED TO DALLAS CROWN, INC. WITH AN INCOMPLETE VS FORM 10-13. FAILED TO MEET WITH INSPECTOR OR RETURN TO MEET WITH INSPECTOR.

04/26/2006  STIPULATION  06/26/2006  $757.00  CHARLES NEALEN

SLAUGHTER HORSES SHIPPED TO DALLAS CROWN, INC. WITH AN INCOMPLETE VS FORM 10-13. FAILED TO MEET WITH INSPECTOR OR RETURN TO MEET WITH INSPECTOR.

04/26/2006  WARNING (7060)  08/23/2006  $0.00  LESLIE G. DIEMER

SLAUGHTER HORSES SHIPPED TO DALLAS CROWN, INC. WITH AN INCOMPLETE VS FORM 10-13. FAILED TO MEET WITH INSPECTOR OR RETURN TO MEET WITH INSPECTOR.

04/26/2006  WARNING (7060)  08/23/2006  $0.00  LESLIE G. DIEMER

05/22/2006  INSUFFICIENT EVIDENCE 05/23/2006  $0.00  JESSE ROBINSON

FAILURE TO PROPERLY COMPLETE VS FORM 10-13

06/18/2006  DENIED / DECLINED  07/14/2007  $0.00  WILLYNM "BLL" RICHARDSON

SHIPMENT OF STALLION HORSES TO SLAUGHTER WITHOUT SEGREGATION OF THESE ANIMALS DURING TRANSPORT

08/15/2006  DECISION AND ORDER  12/28/2010  $10,400.00  ROBERT (ROBBIE) SOLPO

ALLEGED VIOLATIONS OF THE SLAUGHTER HORSE TRANSPORT REGULATIONS. INJURED HORSES, STALLIONS NOT SEGREGATED, FAILURE TO NOTIFY APHIS, PAPERWORK NOT COMPLETELY COMPLETED.

05/12/2008  STIPULATION  05/12/2008  $1,550.00  BRIAN MORGAN

ALLEGED VIOLATIONS OF THE SLAUGHTER HORSE TRANSPORT REGULATIONS. INJURED HORSES, STALLIONS NOT SEGREGATED, FAILURE TO NOTIFY APHIS, PAPERWORK NOT COMPLETELY COMPLETED.
TV06381 VS EQUINE TO SAULTERT 85 VIOLATION OF CONSENT DECISION INVOLVING THE MOVEMENT OF SLAUGHTER HORSES 09/12/2006 INSUFFICIENT EVIDENCE 05/17/2007 $0.00 JOHN MALOUFF

TV06369 VS NONE 85.4 COMMERCIAL SHIPMENT OF 8 UNSEGREGATED STALLIONS TO SLAUGHTER. 09/15/2006 WARNING (7050) 06/30/2008 $0.00 MICHAEL O'CONNELL

TV06369 VS NONE COMMERCIAL SHIPMENT OF 8 UNSEGREGATED STALLIONS TO SLAUGHTER. 09/15/2006 WARNING (7050) $1,000.00 LIVESTOCK EXPRESS INC

TV06360 VS NONE 85.4 INCOMPLETE VS FORM 10-13, ONE HORSE DOA AND FOUR STALLIONS WERE NOT INDIVIDUALLY SEGREGATED DURING SHIPMENT TO SLAUGHTER. HAVE POALED DURING TRANSPORT TO SLAUGHTER. 09/15/2006 STIPULATION 01/04/2011 $25.00 CHARLIE NIelsen

TV06370 VS NONE 85.4 INCOMPLETE VS FORM 10-13, ONE HORSE DOA AND FOUR STALLIONS WERE NOT INDIVIDUALLY SEGREGATED DURING SHIPMENT TO SLAUGHTER. HAVE POALED DURING TRANSPORT TO SLAUGHTER. 09/15/2006 WARNING (7050) 07/23/2010 $0.00 SEAN MEYER

TV06391 VS EQUINE TO SAULTERT 85 SLAUGHTER HORSES MOVED WITHOUT STALLIONS BEING SEGREGATED, HORSE COULD NOT BEAR WEIGHT ON ALL FOUR LEGS AND ESA REACTOR NOT MOVING DIRECT. 09/18/2006 CONSENT DECISION 07/15/2011 $0.00 ROY JOSEPH SIMON

TV06391 VS EQUINE TO SAULTERT 85 SLAUGHTER HORSES MOVED WITHOUT STALLIONS BEING SEGREGATED, HORSE COULD NOT BEAR WEIGHT ON ALL FOUR LEGS AND ESA REACTOR NOT MOVING DIRECT. 09/18/2006 WARNING (7050) 08/25/2010 $0.00 BRIAN KEITH COOK

TV06397 VS EQUINE TO SAULTERT 85 EQUINE ARRIVED AT SLAUGHTER FACILITY WITH SERIOUS LACERATIONS. 09/22/2006 INSUFFICIENT EVIDENCE 11/06/2008 $0.00 JEFF SMITH

TV06397 VS EQUINE TO SAULTERT 85 EQUINE ARRIVED AT SLAUGHTER FACILITY WITH SERIOUS LACERATIONS. 09/22/2006 INSUFFICIENT EVIDENCE 11/06/2008 $0.00 RICHARD PACZIELLI

TV06398 VS EQUINE TO SAULTERT 85 EQUINE ARRIVED AT SLAUGHTER FACILITY UNABLE TO BEAR WEIGHT ON ALL FOUR LEGS. 09/22/2006 CONSENT DECISION 07/15/2011 $0.00 JOSEPH SIMON

TV06398 VS EQUINE TO SAULTERT 85 EQUINE ARRIVED AT SLAUGHTER FACILITY UNABLE TO BEAR WEIGHT ON ALL FOUR LEGS. 09/22/2006 WARNING (7050) 08/25/2010 $0.00 DENNIS BLACK

TV06398 VS EQUINE TO SAULTERT 85 EQUINE ARRIVED AT SLAUGHTER FACILITY UNABLE TO BEAR WEIGHT ON ALL FOUR LEGS. 09/22/2006 WARNING (7050) 08/25/2010 $0.00 MARK GOODEN

TV06398 VS EQUINE TO SAULTERT 85 EQUINE ARRIVED AT SLAUGHTER FACILITY UNABLE TO BEAR WEIGHT ON ALL FOUR LEGS. 09/22/2006 WARNING (7050) 08/25/2010 $0.00 MB TRUCKING

TV06401 VS EQUINE TO SAULTERT 85 MULTIPLE SLAUGHTER HORSE TRANSPORT VIOLATIONS- FAILURE TO INDIQUE PREVIOUS INJURY, STALLIONS CO-ENGILED WITH OTHER HORSES, DEAD HORSES AND DOWHN HORSES UPON ARRIVAL FOR SLAUGHTER. 09/25/2006 DECISION AND ORDER 12/13/2008 $0.00 LEROY BAKER

TV06401 VS EQUINE TO SAULTERT 85 MULTIPLE SLAUGHTER HORSE TRANSPORT VIOLATIONS- FAILURE TO INDIQUE PREVIOUS INJURY, STALLIONS CO-ENGILED WITH OTHER HORSES, DEAD HORSES AND DOWHN HORSES UPON ARRIVAL FOR SLAUGHTER. 09/25/2006 WARNING (7050) 02/14/2008 $0.00 APRIL MONG

TV06401 VS EQUINE TO SAULTERT 85 MULTIPLE SLAUGHTER HORSE TRANSPORT VIOLATIONS- FAILURE TO INDIQUE PREVIOUS INJURY, STALLIONS CO-ENGILED WITH OTHER HORSES, DEAD HORSES AND DOWHN HORSES UPON ARRIVAL FOR SLAUGHTER. 09/25/2006 WARNING (7050) 02/14/2008 $0.00 JEFF MONG

TV06401 VS EQUINE TO SAULTERT 85 MULTIPLE SLAUGHTER HORSE TRANSPORT VIOLATIONS- FAILURE TO INDIQUE PREVIOUS INJURY, STALLIONS CO-ENGILED WITH OTHER HORSES, DEAD HORSES AND DOWHN HORSES UPON ARRIVAL FOR SLAUGHTER. 09/25/2006 WARNING (7050) 02/07/2008 $0.00 LEROY ROGER SIBLEY
T90401 VS EQUINE TO SAUGHTER  
MUTIPLE SAUGHTER HORSE TRANSPORT VIOLATIONS: FAILURE TO INDIgnATE PREVIOUS INJURY, STALLIONS C-RoILD WITH OTHER HORSES, DEAD HORSES AND DOWA HORSES UPON ARRIVAL, FOR  
SAUGHTER.  
06/25/2006 WARNING (7060) 08/20/2008 $0.00 THEODORE COSTELLOE  

T907004 VS EQUINE TO SAUGHTER  
DEAD HORSE IN CONVEYANCE TO SAUGHTER, NO VS 10-13.  
12/13/2006 STIPULATION 06/15/2008 $1,250.00 JACI Booth  

T907004 VS EQUINE TO SAUGHTER  
DEAD HORSE IN CONVEYANCE TO SAUGHTER, NO VS 10-13.  
12/13/2006 STIPULATION 06/15/2008 $1,250.00 KELLY WOOD  

T907011 VS EQUINE TO SAUGHTER  
MOVEMENT OF SAUGHTER HORSES AND ARRIVING AT PLANT WITH DEAD HORSES ON LOAD, NO  
SEPERATION OF STUDS, NO VETERINARY CARE FOR ANIMALS ENROUTE, NOT MEETING WIT  
WITH INPECTION PERSONNEL.  
01/23/2007 DECISION AND ORDER 12/15/2008 $0.00 LEROY HOWARD BAKER, JR  

T907151 VS EQUINE TO SAUGHTER  
MOVEMENT OF SAUGHTER HORSES AND ARRIVING AT PLANT WITH DEAD HORSES ON LOAD, NO  
SEPERATION OF STUDS, NO VETERINARY CARE FOR ANIMALS ENROUTE, NOT MEETING WIT  
WITH INPECTION PERSONNEL.  
01/23/2007 DECISION AND ORDER 12/15/2008 $0.00 LEROY HOWARD BAKER, JR  

T907151 VS EQUINE TO SAUGHTER  
MOVEMENT OF SAUGHTER HORSES AND ARRIVING AT PLANT WITH DEAD HORSES ON LOAD, NO  
SEPERATION OF STUDS, NO VETERINARY CARE FOR ANIMALS ENROUTE, NOT MEETING WIT  
WITH INPECTION PERSONNEL.  
01/23/2007 WARNING (7060) 03/07/2008 $0.00 LEROY ROGER SIBLEY  

T907151 VS EQUINE TO SAUGHTER  
MOVEMENT OF SAUGHTER HORSES AND ARRIVING AT PLANT WITH DEAD HORSES ON LOAD, NO  
SEPERATION OF STUDS, NO VETERINARY CARE FOR ANIMALS ENROUTE, NOT MEETING WIT  
WITH INPECTION PERSONNEL.  
01/23/2007 WARNING (7060) 03/07/2008 $0.00 LEROY ROGER SIBLEY  

T907292 VS EQUINE TO SAUGHTER  
INURY TO A SAUGHTER HORSE DURING COMMERCIAL TRANSPORT.  
04/21/2007 INSUFFICIENT EVIDENCE 06/23/2008 $0.00 FRANKLIN  

T907292 VS EQUINE TO SAUGHTER  
INURY TO A SAUGHTER HORSE DURING COMMERCIAL TRANSPORT.  
04/21/2007 INSUFFICIENT EVIDENCE 06/23/2008 $0.00 FRANKLIN  

T907292 VS EQUINE TO SAUGHTER  
INURY TO A SAUGHTER HORSE DURING COMMERCIAL TRANSPORT.  
04/21/2007 INSUFFICIENT EVIDENCE 06/23/2008 $0.00 STRUCK  

T907504 VS EQUINE TO SAUGHTER  
FAILED TO SEGREGATE TWO STALLIONS IN TRANSPORT  
08/06/2007 STIPULATION 08/26/2008 $775.00 BILL RICHARDSON  

T907504 VS EQUINE TO SAUGHTER  
FAILED TO SEGREGATE TWO STALLIONS IN TRANSPORT  
08/06/2007 STIPULATION 08/26/2008 $0.00 JAMES HUNT  

T908004 VS EQUINE TO SAUGHTER  
SUBJECT TRANSPOTTED 41 HORSES FOR EXPORT FOR SAUGHTER TO EAGLE PASS TWO OF THE HORSES  
REPORTEDLY DEED IN TRANSPORT AND 7 OTHER HORSES WERE INJURED DURIN THE TRANSPORT.  
10/03/2007 STIPULATION 04/15/2009 $6,975.00 CURTIS McDANIEL  

T908004 VS EQUINE TO SAUGHTER  
SUBJECT TRANSPOTTED 41 HORSES FOR EXPORT FOR SAUGHTER TO EAGLE PASS TWO OF THE HORSES  
REPORTEDLY DEED IN TRANSPORT AND 7 OTHER HORSES WERE INJURED DURIN THE TRANSPORT.  
10/03/2007 STIPULATION 05/05/2009 $0.00 JAMES DAVIS  

T908004 VS EQUINE TO SAUGHTER  
SUBJECT TRANSPOTTED 41 HORSES FOR EXPORT FOR SAUGHTER TO EAGLE PASS TWO OF THE HORSES  
REPORTEDLY DEED IN TRANSPORT AND 7 OTHER HORSES WERE INJURED DURIN THE TRANSPORT.  
10/03/2007 STIPULATION 05/05/2009 $1,000.00 STACY HUSGROVE
HORSES TO MEDEX MOVED IN VIOLATION
10/17/2007 STIPULATION 01/21/2009 $500.00 LUCAS DAMM

05/22/2008 STIPULATION 08/26/2008 $775.00 BILL RICHARDSON

05/21/2008 WARNING (7990) 06/12/2008 $0.00 BB&B TRADING

FAILED TO SIGN US FORM 10-13 FOR SHIPMENT OF HORSES TO SLAUGHTER & STATE THAT THE ANIMALS HAD ACCESS TO FOOD, WATER AND REST
05/22/2008 STIPULATION 08/24/2008 $0.00 BILL RICHARDSON

FAILED TO SIGN US FORM 10-13 FOR SHIPMENT OF HORSES TO SLAUGHTER & STATE THAT THE ANIMALS HAD ACCESS TO FOOD, WATER AND REST
05/22/2008 WARNING (7990) 06/12/2008 $0.00 B&B TRADING COMPANY

FAILED TO PROPERLY COMPLETE US FORM 10-13
05/22/2008 STIPULATION 08/26/2008 BILL RICHARDSON

FAILED TO PROPERLY COMPLETE US FORM 10-13
05/22/2008 WARNING (7990) 06/12/2008 $0.00 B&B TRADING COMPANY

FAILED TO PROPERLY COMPLETE THE US FORM 10-13/OWNER-SHIPPER CERTIFICATE FOR EQUINES TO SLAUGHTER
03/27/2008 WARNING (7990) 06/19/2008 $0.00 EVERETT MUNOZ

FAILED TO PROPERLY COMPLETE THE US FORM 10-13/OWNER-SHIPPER CERTIFICATE FOR THE TRANSPORTATION OF EQUINES TO SLAUGHTER
05/23/2008 WARNING (7990) 07/03/2008 $0.00 RED GRAND CLASSIC LIVESTOCK

FAILURE TO PROPERLY COMPLETE US FORM 10-13 FOR THE SHIPMENT OF EQUINES TO SLAUGHTER
06/06/2008 STIPULATION 06/19/2008 $125.00 BELTEX CORPORATION

ALLEGED VIOLATIONS OF THE REGULATIONS GOVERNING THE COMMERCIAL TRANSPORTATION OF 31 EQUINES FOR SLAUGHTER. INCOMPLETE US FORM 10-13, FAILURE TO LIST ALL OF THE BREEDS OF THE HORSES AND FAILURE TO PROPERLY REPORT THE POINT OF LOADING
07/08/2008 CONSENT DECISION 08/08/2011 $17,000.00 BILL RICHARDSON

ALLEGED VIOLATIONS OF THE REGULATIONS GOVERNING THE COMMERCIAL TRANSPORTATION OF 31 EQUINES FOR SLAUGHTER. INCOMPLETE US FORM 10-13, FAILURE TO LIST ALL OF THE BREEDS OF THE HORSES AND FAILURE TO PROPERLY REPORT THE POINT OF LOADING
07/08/2008 STIPULATION 10/13/2009 $5,550.00 B&B TRADING COMPANY

ALLEGED VIOLATIONS OF THE REGULATIONS GOVERNING THE COMMERCIAL TRANSPORTATION OF 31 EQUINES FOR SLAUGHTER. INCOMPLETE US FORM 10-13, FAILURE TO LIST ALL OF THE BREEDS OF THE HORSES AND FAILURE TO PROPERLY REPORT THE POINT OF LOADING
07/08/2008 WARNING (7990) 01/26/2009 $0.00 JUAN SANCHEZ

MULTIPLE ALLEGED VIOLATIONS (INCOMPLETE PAPERWORK) IN THE COMMERCIAL TRANSPORTATION OF EQUINES FOR SLAUGHTER
08/01/2008 STIPULATION 08/15/2009 CURTIS MCDANIEL

SHIPMENT OF SLAUGHTER HORSES WERE DROPPED OFF AT STOCK YARDS IN TX WITH LOUISIANA BACKTAGS AND WERE FOUND TO BE TRANSPORTED IN VIOLATION OF SLAUGHTER HORSE REGULATIONS
08/17/2009 DECISION AND ORDER 10/04/2011 MITCH STANILY

SHIPMENT OF SLAUGHTER HORSES WERE DROPPED OFF AT STOCK YARDS IN TX WITH LOUISIANA BACKTAGS AND WERE FOUND TO BE TRANSPORTED IN VIOLATION OF SLAUGHTER HORSE REGULATIONS
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08/17/2009 DECISION AND ORDER 10/04/2011 MITCH STANILY
SHIPMENT OF SLAUGHTER HORSES WERE DROPPED OFF AT STOCKYARDS IN TX WITH LOUISIANA BACKTAGS AND WERE FOUND TO BE TRANSPORTED IN VIOLATION OF SLAUGHTER HORSE REGULATIONS.

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<th>Case ID</th>
<th>VS or REQUITE</th>
<th>Allegation</th>
<th>Date Filed</th>
<th>Date Disposition</th>
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<th>Responsible Official</th>
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<tr>
<td>TX10387</td>
<td>VS EQUINE TO Slaughter</td>
<td>Commercial Transportation of Equines for Slaughter</td>
<td>02/12/2010</td>
<td>DENIED / DECLINED</td>
<td>09/29/2011</td>
<td>$0.00</td>
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<td>VS EQUINE TO Slaughter</td>
<td>Commercial Transportation of Equines for Slaughter</td>
<td>03/12/2010</td>
<td>WARNING (7060)</td>
<td>09/29/2011</td>
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<td>TX10387</td>
<td>VS EQUINE TO Slaughter</td>
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<td>03/22/2010</td>
<td>WARNING (7060)</td>
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<td>Commercial Transportation of Equines for Slaughter</td>
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<td>Commercial Transportation of Equines for Slaughter</td>
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<tr>
<td>TX10445</td>
<td>VS EQUINE TO Slaughter</td>
<td>A number of horses identified as slaughter horses refused by Mexico were abandoned in a trailer in San Antonio in route to Kansas</td>
<td>09/21/2010</td>
<td>NO VIOLATION</td>
<td>01/24/2012</td>
<td>Justin Harvey</td>
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<tr>
<td>TX10445</td>
<td>VS EQUINE TO Slaughter</td>
<td>A number of horses identified as slaughter horses refused by Mexico were abandoned in a trailer in San Antonio in route to Kansas</td>
<td>09/21/2010</td>
<td>WARNING (7060)</td>
<td>01/24/2012</td>
<td>Raymond Tucker</td>
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<td>TX11002</td>
<td>VS EQUINE TO Slaughter</td>
<td>PATENT</td>
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<td>TX11248</td>
<td>VS EQUINE TO Slaughter</td>
<td>ANY INSPECION REQUESTED INVESTIGATION AND EVALUATION OF A SUSPECT HORSE TRANSPORTED TO EAGLE PASS, TX THAT HAD A SEVERE VISUAL IMPAIRMENT.</td>
<td>02/02/2011</td>
<td>CONSENT DECISION</td>
<td>08/08/2011</td>
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<td>TX11336</td>
<td>VS EQUINE TO Slaughter</td>
<td>McAulain failed to accurately record information on vs forms 10-13 (OWNER/SHIPER CERT) for slaughter horse transport between 12/1/2010 and 1/3/2011.</td>
<td>09/04/2011</td>
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<td>Michael McAulain</td>
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<td>TX11594</td>
<td>VS EQUINE TO Slaughter</td>
<td>TRANSPORT OF EQuine TO SLAUGHTER</td>
<td>09/02/2011</td>
<td>FACT FINDING</td>
<td>03/03/2012</td>
<td>Troy Moore</td>
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<td>VS EQUINE TO Slaughter</td>
<td>COMPLAINT ALLEGED VIOLATIONS OF HUMANE EQUINE TRANSPORT REGULATIONS.</td>
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<td>INSUFFICIENT EVIDENCE 05/17/2012</td>
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